

11-24-1998

U.S. DEPARTMENT OF COMMERCE
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RECORD



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Docket No.: MS-26/3(36333.3)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John E. BALL
John S. BREESE

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: **September 15, 1998 and**
November 4, 1998, respectively

2. Name and address of receiving party(ies):

Name: **Microsoft Corporation**

Address:

Street Address: **One Microsoft Way**

City: **Redmond** State: **WA** ZIP: **98052**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) **09/109,232**

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Peter L. Michaelson, Esq.**
MICHAELSON & WALLACE
Customer No. 007265

Internal Address: **PO Box 8489**

Street Address: **Parkway 109 Office Center**
328 Newman Springs Rd.

11/20/1998 BDUCKETT 00000008 500463 09109232

03 FC:501 40.00 CH City: **Red Bank** State: **NJ** ZIP: **07701**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....\$ **40.00**

Included with Filing Fees

Any additional fees required are authorized to be charged to the deposit account listed below.

8. Deposit account number:

50-0463

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Peter L. Michaelson

Name of Person Signing

Signature

November 11, 1998

Date

Total number of pages comprising cover sheet plus assignment: **4**

OMB No. 0651-0011(exp.4/94)

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PATENT
REEL: 9593 FRAME: 0345

ASSIGNMENT

WHEREAS, we, John Eugene BALL and John S. BREESE (hereinafter referred to as ASSIGNORS), having post office address of 17128 NE 152nd Street, Woodinville, WA 98072 and 7701 S.E. 58th St., Mercer Island, WA 98040, respectively, are the joint inventors of an invention entitled:

**MODELING AND PROJECTING EMOTION AND PERSONALITY
FROM A COMPUTER USER INTERFACE**

as described and claimed in the specification forming part of an application for United States letters patent filed June 30, 1998 and assigned Serial No. 09/109,232;

WHEREAS, Microsoft Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at One Microsoft Way, Redmond, WA 98052, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for goods and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the

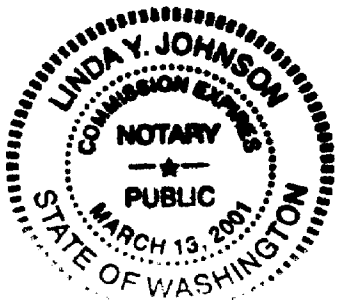
name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 15th day of September, 1998.

John Eugene Ball
John E. BALL, ASSIGNOR

State of Washington :
 : ss
County of King :

On this 15th day of September, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared John E. BALL, known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his/her free act and deed.



Linda Y Johnson
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

1-4-98 day of November, 1998.



John S. BREESE, ASSIGNOR

State of Washington :
County of King : ss

On this 14th day of November, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared John S. BREESE, known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his/her free act and deed.

Linda Y. Johnson
Notary Public

