

11-25-1998



OVER SHEET U.S. DEPARTMENT OF COMMERCE

ONLY 08/676268  
09 REC'D PLT/PIC 08 JUL 1996

Tab settings

To the Honorable Commis

100905954

Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Werner Hagen  
Karlheinz SchaustAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No3. Nature of conveyance: *MRD 7-8-96*

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: April 26, 1996

## 2. Name and address of receiving party(ies):

Name: Honeywell AG

Internal Address: Patent und Lizenzabteilung  
Keiserleistrasse 39, Postfach 10 08 65D-56133, Offenbach am Main, Federal Republic  
of Germany

Street Address:

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur A. Sapelli

Internal Address: Honeywell Inc.

Patent Law Office

Street Address: POB 21111

City: Phoenix State: AZ ZIP: 85036

## 6. Total number of applications and patents involved:

## 7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

08-2727

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tessa Ricar

Name of Person Signing

Signature

July 8, 1996

Date

Total number of pages comprising cover sheet:

Whereas I/we, Werner Hagen of Obere Flurastr. 38, D-56567 Neuwied, Germany; and Karlheinz Schaust of Koblenzer Str. 87, D-56133 Fachbach, Germany, have invented certain new and useful improvements in a

**Method for measuring the Roughness of the Surface of a Material**

for which a European patent application 94 100 144.8 was filed on January 7, 1994 and a PCT application PCT/EP94/04305 was filed on December 24, 1994 and for which an application is about to be made for Letters Patent of the United States, said application having been executed on even date herewith, and which may be identified in the United States Patent Office by Serial No.

Filed ; and

Whereas Honeywell AG, a corporation organized and existing under the laws of the Federal Republic of Germany, and having its principal offices at  
Kaiserleistrasse 39, D-63067 Offenbach am Main, Germany,

is desirous of acquiring the entire right, title and interest in and to said invention, said application and in, to and under any and all Letters Patent to be obtained therefore:

Now, therefore, for good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the said Honeywell AG, its successors and assigns, our entire right, title and interest in and to said invention, said application and the Letters Patent, both foreign and domestic, that may or shall issue, including all of our rights under the International Convention, and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above-mentioned assignee in accordance herewith.

We further authorize said assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of said European application and/or otherwise take advantage of the provisions of the International Convention.

Upon said consideration, we do hereby covenant and agree with the said assignee, its successors and assigns, that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will at any time upon request, without further or additional consideration, but at the expense of the said assignee, its successors and assigns, execute such additional writings and do such additional acts as said assignee, its successors and assigns, may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States or any of and all foreign countries on said invention, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

Date: April 26, 1996 Inventor:

Werner Hagen  
Werner Hagen

Date: April 26, 1996 Inventor:

Karlheinz Schaust  
Karlheinz Schaust

Witnesses: Dr. Martin Bickert

M. Bickert

Rudolf Mühlenbein

R. Mühlenbein

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Phoenix, Arizona  
November 26, 1996

Applicant: Werner Hagen, et al      Group: 5611  
 Serial No.: 08/676,268      Examiner:  
 Filed: 12/24/94      Atty Docket No.: 785 00804 US  
 For: PROCESS FOR MEASURING THE ROUGHNESS OF A MATERIAL SURFACE

Honorable Commissioner of  
 Patents and Trademarks  
 Washington, D.C. 20231

**SUPPORT FOR THE STATEMENT OF UNINTENTIONAL DELAY** **RECEIVED**  
**JAN 10 1998**

**GROUP 2500**

Dear Sir:

1. My name is Anthony Miologos, Reg. No. 29,677, a patent agent, employed by Honeywell, AG., the Assignee of the above-identified international application.

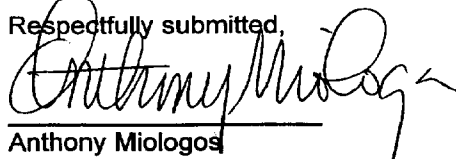
10/29/1998 KDUNCANI 00000047 082727 08676268

2. I have been appointed by the Assignee to prosecute the above-identified application, by virtue of the Associate Power of Attorney.

01 FC:141 1290.00 CH  
 02 FC:970 810.00 CH  
 03 FC:968 260.00 CH  
 04 FC:581 40.00 CH

3. This international application was abandoned in the U.S. due to the applicant's failure to provide the full U.S. Basic National Fee by 30 months (37 CFR 1.495 (b)(2)).
4. The failure was due to the Assignee's deposit account 08-2727 having insufficient funds at the time the filing under 35 USC 371 was received by the U.S. Patent and Trademark Office.
5. During this period, a heavier than normal charging activity was experienced by the Assignee which led to the insufficiency. This insufficiency of funds was an oversight and unintentional.
6. It is respectfully requested that the petition to revive this international application be granted and the U.S. Basic National Fee accepted in order to restore the instant application to pending status.

Respectfully submitted,



Anthony Miologos  
 Reg. No. 29,677  
 602 / 313-5683

dly\_unn2.doc