

MRD 10/10/98

BE

11-18-1998



100902457

Attorney's Docket No. 5091.001
Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231

66902 U.S. PTO
08/948806
10/10/97

**COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 CFR 3.31)**

**IDENTIFICATION OF APPLICATION (37 CFR 3.21
and 37 CFR 3.31(a)(4))**

1. The patent application filed herewith and to which the attached assignment (document) refers is identified as follows:

a. Date of execution:

September 3, 1997

b. Name of each inventor:

1: Jeffery N. Carr

2:

3:

c. Title of invention:

REMOVABLE THRESHOLD

NOTE: "If an assignment is executed concurrently with, or subsequent to the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended." 37 CFR 3.21.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application", along with any document referred to, is being deposited with the United States Postal Service on this date 09/03/97 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number PM223420148US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Frederick L. Tolhurst

(Type or print name of person mailing paper)

(Signature of person mailing paper)

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Jeffery N. Carr

Name 2: _____

Name 3: _____

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 CFR 3.31(a)(2))**

3. The rights are being conveyed to:

Name: CFM, Inc.

Address: 205 Amsler Ave.
Shippenville, PA 16254
USA

Telephone No.: (814) 226-5374

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

4. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a license.
- ☐ a merger.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 CFR 3.31(a)(5))**

5. Please address correspondence to:

Name: Frederick L. Tolhurst

Address: Cohen & Grigsby
625 Liberty Avenue
Pittsburgh, PA 15222

Telephone No.: (412) 394-4960

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on September 3, 1997:
(date)

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

The attached document:

- ☒ is in the English language.
☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

7. Submitted herewith is:

- ☒ the original document.
☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE (37 CFR 3.31 (a)(6))

8. A. This cover sheet identifies only one application:

B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.

- ☒ Attached is a check for \$40.00. (Included in filing fee.)
☐ Please charge Account _____ \$40.00.

A duplicate of this cover sheet is attached.

STATEMENT (37 CFR 3.31(a)(9)) AND SIGNATURE (37 CFR 3.31 (a)(10))

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: Oct 10, 1997

Frederick L. Tolhurst

(Name of party submitting document)

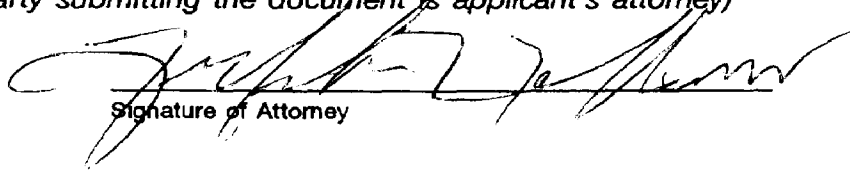
(Signature of party submitting document)

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 3 of 4)

(complete the following if the party submitting the document is applicant's attorney)

Reg. No. 28,123

Tel. No. (412) 394-4960



Signature of Attorney

Frederick L. Tolhurst

type or print name of Attorney

Cohen & Grigsby

P.O. Address

625 Liberty Avenue

Pittsburgh, PA 15222

TOTAL NUMBER OF PAGES BEING SUBMITTED

10. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

7

Total number of pages submitted

08/9489806

Attorney's Docket No. 5091.001

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☐ U.S. Application or ☐ U.S. Patent
For: ☐ PCT Application
By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

(inventor(s) or person(s) or
entity(ies) who own the
invention)

Jeffery N. Carr
(type or print name(s) of ASSIGNOR(S))

P.O. Box 324
(Address)

Shippenville, PA 16254

Canadian
(Nationality)

*(if assignment is by person or entity to whom invention was previously assigned and
this was recorded in PTO, add the following)*

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

CFM, Inc.
(type or print name(s) of ASSIGNOR(S))

205 Amsler Avenue
(Address)

Shippenville, PA 16254

a Pennsylvania corporation
(Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE

(Assignment of Invention [16-3]—page 1 of 3)

PATENT
REEL: 9595 FRAME: 0320

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

(check and complete (a), (b), (c) or (d))

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney, as named in the Declaration and Power of Attorney I executed for this invention on the execution date stated above, to insert below the filing date and application number when it becomes known.
(c) ☐ U.S. application serial no. 0 / _____ filed on _____
(d) ☐ International application no. PCT/_____/_____
(e) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (f) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention [16-3]—page 2 of 3)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

3rd day of September, 1997 (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

X 

Signature of ASSIGNOR(S)

If ASSIGNOR is a legal entity complete the following information

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Application Number: 08/948806

Filing Date: 10/10/97

Applicant: Carr

For: REMOVABLE THRESHOLD

Examiner: Purnell, Allyson

Art Unit:

Document ID No.: 100784262

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Hwy, Suite 320, Washington, D.C. 20231, on November 12, 1998.

Frederick L. Tolhurst
Registration No. 28,123

EM223420854US

Express Mail Mailing Label Number

RESPONSE TO NOTICE OF NON-RECORDATION OF DOCUMENT

In response to the Notice of Non-Recordation of Document, dated October 23, 1998, regarding Document ID No. 100784262, in the above-referenced application, please be advised as follows:

1. Document ID No. 100784262 is the duly executed Assignment of Jeffery N. Carr to CFM, Inc.
2. The Assignment was filed with the New Application Transmittal October 10, 1997.
3. The filing fee for the application was \$752.00 and the fee for recording the assignment was \$40.00. The total fees were \$792.00. Check number 0048214, in the amount of \$792.00, was included with the New Application. As page 6 of the Application Transmittal specified, \$40.00 of the \$792.00 fee payment was for the recording of the assignment.

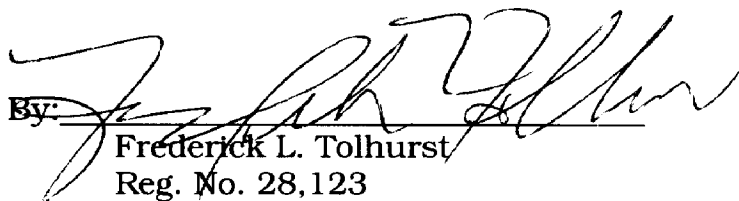
4. Attached is a copy of cancelled check number 0048214, which was received and deposited by the Patent and Trademark Office December 23, 1997.

5. The Commissioner was authorized to charge any additional fees to Account No. 03-2411.

6. The original document is attached for recordation. Since the failure to record the original document is apparently the result of an error by the Patent and Trademark Office and the filing fee has previously been submitted and accepted by the Patent and Trademark Office, no additional fee is required at this time. Please record the original assignment and return it to me.

Respectfully submitted,

COHEN & GRIGSBY, P.C.

By: 
Frederick L. Tolhurst
Reg. No. 28,123

11 Stanwix Street
15th Floor
Pittsburgh, PA 15222
(412) 297-4900

296761

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Carr

Docket No.

5091.001

Serial No.

08/948806

Filing Date

10/10/97

Examiner

Purnell, Allyson

Group Art Unit

Invention: REMOVABLE THRESHOLD

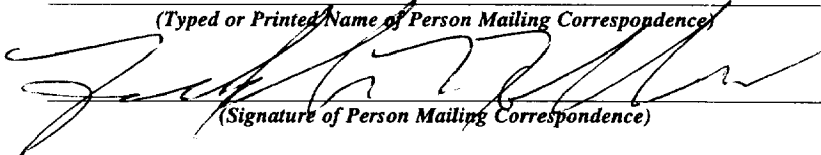
I hereby certify that this Cover Sheet For Assignment*(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
U.S. Patent & Trademark Office

37 CFR 1.10 in an envelope addressed to: Assignment Division

on

November 12, 1998*(Date)*Box Assignments, CG-4
1213 Jefferson Davis Hwy, Suite 320
Washington, D.C. 20231

Frederick L. Tolhurst

(Typed or Printed Name of Person Mailing Correspondence)*(Signature of Person Mailing Correspondence)*

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Note: Each paper must have its own certificate of mailing.