

11-25-1998

DEPARTMENT OF COMMERCE
Patent and Trademark Office

100905997

Documents or copy thereof.

MRD 11.19.98

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

Ciba-Geigy Corporation

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☒ Change of Name
- ☐ Other _____

Execution Date: 11/10/98

2. Name and address of receiving party(ies)

Name: Novartis Corporation

Internal Address: Patent & Trademark Department

Street Address: 564 Morris Avenue

City: Summit State: New Jersey ZIP: 07901-1027

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

08/515,633

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael W. Glynn
Novartis Corporation

Internal Address: Patent & Trademark Department

Street Address: 564 Morris Avenue

City: Summit: New Jersey ZIP: 07901-1027

16. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41.....) \$40.00

- ☐ Enclosed
- ☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit Account number: 19-0134

DO NOT USE THIS SPACE

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael U. Lee, Reg. No. 35,240

Name of Person Signing

Signature11/12/98
Date

**Certificate of Mailing on Reverse

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

PATENT

REEL: 9997 FRAME: 0439

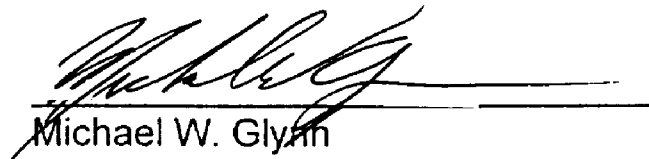
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CERTIFICATE OF CHANGE OF NAME

Attached hereto is a true and accurate copy of a certification by the Department of State of the State of New York, dated June 12, 1997, certifying, inter alia, the name change of Ciba-Geigy Corporation to Novartis Corporation, filed on December 31, 1996.

I, Michael W. Glynn, hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true. Further, I am aware that willful and false statements and the like are punishable by fine or imprisonment, or both (18 USC §1001) and may jeopardize the validity of this document and any registration resulting therefrom.

Date: Nov. 10, 1998


Michael W. Glynn
Vice President, Novartis Corporation
Reg. No. 27,285

State of New York | ss:
Department of State

I hereby certify, that the certificate of incorporation of NOVARTIS CORPORATION was filed on 11/15/1966, under the name of ARDSLEY CHEMICAL CORPORATION, with perpetual duration, and that a diligent examination has been made of the index of corporation papers filed in this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation. I further certify the following:

A Certificate of Merger and Name Change of ARDSLEY CHEMICAL CORPORATION, changing name to GEIGY CHEMICAL CORPORATION was filed on 12/30/1966.

A Certificate of Merger and Name Change of GEIGY CHEMICAL CORPORATION, changing name to CIBA-GEIGY CORPORATION was filed on 10/21/1970.

A Certificate of Amendment was filed on 12/19/1972.

A Certificate of Merger was filed on 12/29/1972.

A Certificate of Merger was filed on 12/19/1974.

A Certificate of Merger was filed on 12/24/1974.

A Certificate of Merger was filed on 12/26/1974.

A Certificate of Amendment was filed on 01/22/1979.

A Certificate of Amendment was filed on 08/24/1979.

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 12/26/1979.

A Certificate of Merger was filed on 11/02/1981.

A Certificate of Merger was filed on 12/17/1981.

A Certificate of Merger was filed on 12/29/1983.

A Certificate of Merger was filed on 12/19/1984.

A Certificate of Merger was filed on 03/31/1987.

A Certificate of Merger was filed on 06/26/1987.

A Certificate of Merger was filed on 01/26/1988.

A Certificate of Merger was filed on 12/30/1988.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 12/23/1991.

A Certificate of Merger was filed on 05/22/1992.

A Biennial Statement was filed 12/10/1992.

A Certificate of Merger was filed on 12/22/1992.

A Biennial Statement was filed 12/09/1993.

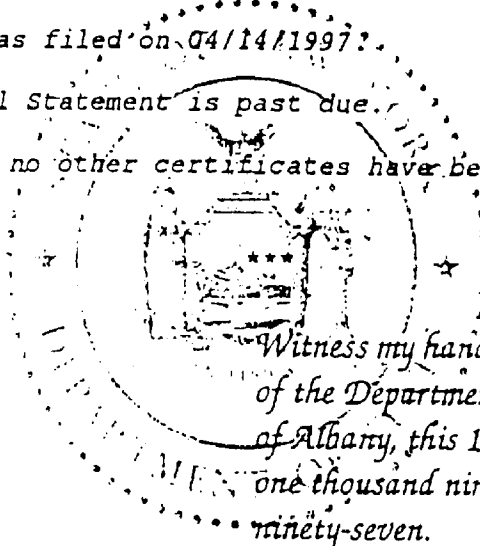
Certificate of change was filed on 06/02/1995.

A Certificate of Merger and Name Change of CIBA-GEIGY CORPORATION,
changing name to NOVARTIS CORPORATION was filed on 12/31/1996.

Certificate of change was filed on 04/14/1997.

The Corporation Biennial Statement is past due.

I further certify, that no other certificates have been filed by such
corporation.



Witness my hand and the official seal
of the Department of State at the City
of Albany, this 12th day of June
one thousand nine hundred and
ninety-seven.

Special Deputy Secretary of State

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