

03-15-1999



Docket No.: 48151

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hidefumi Yukimasa
Yasuo Sugiyama
Ryuichi Tozawa

2. Name and address of receiving party(ies):

Name: Takeda Chemical Industries, Ltd.

Address: 1-1, Doshomachi 4-chome, Chuo-ku,

Osaka-shi, Osaka 541-0045, Japan

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance: MRD 2-12-98

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

H. Yukimasa, March 2, 1998; Y. Sugiyama,
Execution Date: March 2, 1998; R. Tozawa, Feb. 27,
1998

City: _____ State/Prov.: _____

Country: _____ ZIP: _____

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/043,265

March 12, 1998

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Conlin

Registration No. 27,026

Address: Dike, Bronstein, Roberts & Cushman, LLP

130 Water Street

City: Boston

State/Prov.: MA

Country: USA

ZIP: 02109

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

04-1105

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David G. Conlin

Name of Person Signing

Signature

February 8, 1999

Date

10

Total number of pages including cover sheet, attachments, and

PATENT
REEL: 9600 FRAME: 0939

09-08-1998

Docket No.: 48151

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Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hidefumi Yukimasa
Yasuo Sugiyama
Ruichi Tozawa

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8-28-98

2. Name and address of receiving party(ies):

Name: Takeda Chemical Industries, Ltd.

Address: 1-1, Doshomachi 4-chome, Chuo-ku

Osaka-shi, Osaka 541-0045, Japan

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

H. Yukimasa, March 2, 1998; Y. Sugiyama,
Execution Date: March 2, 1998; R. Tozawa, Feb. 27,
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City: _____ State/Prov.: _____

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B. Patent No.(s)

09/043,265

March 12, 1998

Additional numbers

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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David G. Conlin, Esq.

Registration No. 27,026

Address: Dike, Bronstein, Roberts & Cushman, LLP

130 Water Street

City: Boston

State/Prov.: MA

Country: U.S.A.

ZIP: 02109

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account if necessary.

☒ Authorized to be charged to deposit account

8. Deposit account number:

04-1105

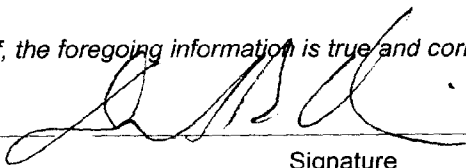
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David G. Conlin

Name of Person Signing



Signature

August 28, 1998

Date

6

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

Serial No. _____, Filed _____

WHEREAS, the following inventors: Hidefumi Yukimasa, Yasuo Sugiyama, Ryuichi Tozawa hereinafter designated as the undersigned) have (have) invented certain new and useful improvements in _____
Benzoxazepine Compounds, Their Production and Use, for which application for Letters Patent of the United States has been executed on _____, and filed on _____, Serial No. _____; and

WHEREAS, TAKEDA CHEMICAL INDUSTRIES, LTD., having its principal place of business at 1-1, Doshomachi 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0045, Japan, its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration to the undersigned, the receipt of which is hereby acknowledged, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to furnish all pertinent facts and documents relating to said application, said invention and said patent(s) as may be known and accessible to the undersigned, and will testify as to the same in any interference or litigation related thereto, and will promptly execute and deliver to Assignee any and all papers, instruments or affidavits necessary or desirable to apply for, obtain, maintain or enforce said application or said patent(s) in connection with any interference or reexamination proceeding which may be declared, and any litigation concerning this application or any continuation, division or reissue thereof or Patent(s) or reissue patent(s) issued thereon, and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference, reexamination or litigation.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he or she has the full right to convey the entire interest herein assigned, and that he or she has not executed, and will not execute, any agreement in conflict herewith.

In witness whereof, signed by the undersigned on the date(s) opposite the undersigned names.

(1) Date: Mar. 2, 1998, Signature of Inventor: Hidefumi Yukimasa

Name: Hidefumi Yukimasa

(2) Date: Mar. 2, 1998, Signature of Inventor: Yasuo Sugiyama

Name: Yasuo Sugiyama

(3) Date: Feb. 27, 1998, Signature of Inventor: Ryuichi Tozawa

Name: Ryuichi Tozawa

(1)(2) Date: Mar. 2, 1998, Signature of Witness: Eisho Sugino

Name: Eisho Sugino

(1)(2) Date: Mar. 2, 1998, Signature of Witness: Satoru Oi

Name: Satoru Oi

(3) Date: Feb. 27, 1998, Signature of Witness: Hiroaki Yagyu

Name: Hiroaki Yagyu

(3) Date: Feb. 27, 1998, Signature of Witness: Naoya Yahagi

Name: Naoya Yahagi