

11-30-1998

Revised Form PTO-1595
(Rev. 6-93)100910954
PATENTS ONLY

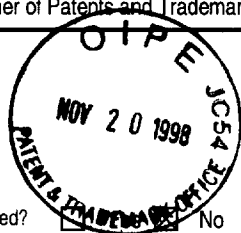
EET

U.S. Department of Commerce
Patent and Trademark Office

11/20/98

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kurt Bachmaier
Andrew John Hessel
Josef Martin PenningerAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Amgen Canada Inc.

Internal Address: _____

Street Address: 6733 Mississauga Road, Suite 303Mississauga, Ontario, CANADA L5N 6J5Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: November 11, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/133,774

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: U.S. Patent Operations/NAOInternal Address: Dept. 430, M/S 27-4-AAMGEN INC.Street Address: One Amgen Center DriveCity: Thousand Oaks State: CA Zip: 91320-17996. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account.

8. Deposit account number: 01-0519

- ☒ The Commissioner is hereby authorized to charge any additional filing fees which may be required or credit any overpayment to Deposit Account No. 01-0519

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*Nancy A. Oleski

Name of Person Signing

Signature

November 16, 1998

Date

Total number of pages including cover sheet, attachments, and document: 5

11/24/1998 09:11:00 00000028 010519 09133774

03 FC:581

40.00 CH

PATENT
REEL: 9601 FRAME: 0972

ASSIGNMENT

Whereas, we, Kurt Bachmaier, 25 Wood Street, Apartment 412, Toronto, Ontario, M4Y 2P9, Canada

Andrew John Hessel, 620 University Avenue, Suite 706, Toronto, Ontario, M5G 2C1, Canada

Josef Martin Penninger, 687 Carlaw Avenue, Toronto, Ontario, M4K 3K7, Canada

have made an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled

NOVEL PEPTIDES CAPABLE OF MODULATING INFLAMMATORY HEART DISEASE

which is found in:

- ☐ (a) the U.S. Patent Application executed on even date herewith;
- ☐ (b) the U.S. Patent Application executed on _____
- ☒ (c) U.S. Application Serial No.: 09/133,774 filed on August 12, 1998
- ☐ (d) U.S. Patent No.: _____

Whereas AMGEN CANADA INC., a Canadian corporation having its principal place of business at 6733 Mississauga Road, mississauga, Ontario, L5N 6J5, Canada, wishes to acquire the entire interest in all inventions disclosed in such Application:

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto AMGEN CANADA INC., its successors and assigns (collectively "AMGEN CANADA") our entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above-identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN CANADA for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Further, we hereby sell, assign, transfer, and set over unto AMGEN CANADA our entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by us or at our direction or deposited on our behalf by AMGEN CANADA, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, our unreserved and irrevocable consent and authorization to AMGEN CANADA to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN CANADA as assignee of the entire right, title and interest therein and thereto.

For ourselves and for our legal representatives, we covenant and agree with AMGEN CANADA that we have not granted to any others any license to make, use or sell any of such inventions, that our right title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN CANADA that upon request we and they will: (i) execute continuing, divisional or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN CANADA any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigations; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN CANADA, may be necessary or desirable to secure the grant of Letters Patent to AMGEN CANADA or its nominees, in the United States and in all other countries where AMGEN CANADA may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN CANADA and to vest and confirm in AMGEN CANADA or its nominees, the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN CANADA.

In Witness Whereof I have executed this assignment on the

7th day of Nov 1998

Kurt Bachmaier
(Name of Inventor)

[Signature]
(Signature of Inventor)

CANADA

Province of Ontario } ss:

On November 11, 1998 before me, Robert MARK,

a Notary Public for the Province of Ontario, Canada, personally appeared Kurt Bachmaier, personally known to me or proved to me (circle one) on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
Notary Public Signature and Seal

In Witness Whereof I have executed this assignment on the 11th day of November 19 98

Andrew John Hessel
(Name of Inventor)

A. J. Hessel
(Signature of Inventor)

CANADA

Province of Ontario } ss:

On Nov 11, 1998 before me, ROBERT MARK,

a Notary Public for the Province of Ontario, Canada, personally appeared Andrew John Hessel, personally known to me or proved to me (circle one) on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]
Notary Public Signature and Seal

In Witness Whereof I have executed this assignment on the

day of

November 19 98

Josef Martin Penninger
(Name of Inventor)

Josef Penninger
(Signature of Inventor)

CANADA

Province of Ontario } ss:

On Nov 11, 1998 before me, ROBERT MARIC

a Notary Public for the Province of Ontario, Canada, personally appeared Josef Martin Penninger, personally known to me or proved to me (circle one) on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Robert Maric
Notary Public Signature and Seal