

NOV 20 1998

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To the Honorable Commissioner of Patents and Trademarks: Please

original documents or copy thereof.

1. Name of conveying party(ies):

Tadashi OHASHI (October 20, 1998), Katuhiro CHAKI (October 20, 1998), Ping XIN (October 20, 1998), Tatsuo FUJII (October 20, 1998), Katsuyuki IWATA (October 20, 1998), Shinichi MITANI (October 23, 1998), Takaaki HONDA (October 23, 1998) and Yuusuke SATO (October 30, 1998)

Additional name(s) of conveying party(ies) attached? No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: Same as above

2. Name and address of receiving party(ies):

Name: TOSHIBA CERAMICS CO., LTD.

Internal Address:

Street Address: 26-2, Nishi-Shinjuku 1-chome, Shinjuku-kuCity: Tokyo, State: Japan ZIP: 163Additional name(s) & address(es) attached? Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/137,298

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard L. SchwaabInternal Address: FOLEY & LARDNERStreet Address: 3000 K Street, N.W., Suite 500City: Washington, State: D.C. ZIP: 20007-51096. Total number of applications and patents involved: 17. Total fee (37 C.F.R. § 3.41). \$40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: 19-0741

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard L. Schwaab
 Name of Person Signing

Signature

November 20, 1998
 Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

PATENT
 REEL: 9602 FRAME: 0696

2.(Cont'd) Name and address of receiving party(ies):

Name: **TOSHIBA KIKAI KABUSHIKIKAISHA**

Internal Address:

Street Address: **2-11, Ginza 4-chome, Chuuou-ku**

City: **Tokyo**, State: **Japan** ZIP: **104**

Additional name(s) & address(es) attached? **No**

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto **TOSHIBA CERAMICS CO., LTD.**

name and
address of
assignee

**26-2, Nishi-Shinjuku 1-chome, Shinjuku-ku
Tokyo, 163 Japan**

and

**TOSHIBA KIKAI KABUSHIKIKAISHA
2-11, Ginza 4-chome, Chuuou-ku
Tokyo, 104 Japan**

(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

title of
invention

HIGH-SPEED ROTATIONAL VAPOR DEPOSITION APPARATUS AND HIGH-SPEED ROTATIONAL VAPOR DEPOSITION THIN FILM FORMING METHOD

as set forth in his United States Patent Application

check one

☐ executed concurrently herewith

☐ executed on _____

☒ Serial No. 09/137,298 Filed August 20, 1998

in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefor or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of **FOLEY & LARDNER** the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

| NAMES AND SIGNATURES OF INVENTORS | | |
|--|----------------------------------|-------------------------|
| Name: Tadashi OHASHI | Signature: <i>Tadashi Ohashi</i> | Date: <u>20.10.1998</u> |
| Name: Katuhiko CHAKI | Signature: <i>Katuhiko Chaki</i> | Date: <u>20.10.1998</u> |
| Name: Ping XIN | Signature: <i>Ping Xin</i> | Date: <u>20.10.1998</u> |
| Name: Tatsuo FUJII | Signature: <i>Tatsuo Fujii</i> | Date: <u>20.10.1998</u> |
| NAMES AND SIGNATURES OF WITNESSES | | |
| Name: | Signature: | Date: |
| Name: | Signature: | Date: |

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.

PATENT

REEL: 9602 FRAME: 0698

NAMES AND SIGNATURES OF INVENTORS

| | | |
|------------------------------|-----------------------------------|-------------------------|
| Name: Katsuyuki IWATA | Signature: <i>Katsuyuki Iwata</i> | Date: <i>20.10.1998</i> |
| Name: Shinichi MITANI | Signature: <i>Shinichi Mitani</i> | Date: <i>23.10.1998</i> |
| Name: Takaaki HONDA | Signature: <i>Takaaki Honda</i> | Date: <i>23.10.1998</i> |
| Name: Yuusuke SATO | Signature: <i>Yuusuke Sato</i> | Date: <i>30.10.1998</i> |
| Name: | Signature: | Date: |
| Name: | Signature: | Date: |
| Name: | Signature: | Date: |
| Name: | Signature: | Date: |

NAMES AND SIGNATURES OF WITNESSES

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