

12-01-1998



100911663

Docket No.: 11600

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Makoto Nishio
Naoya Henmi

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **NEC Corporation**

Address: **7-1, Shiba 5-chome**

Minato-ku

City: **Tokyo**

State/Prov.: _____

Country: **Japan**

ZIP: _____

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: **November 6, 1998**

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/126,248

July 30, 1998

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Paul J. Esatto, Jr.**

Registration No. **30,749**

Address: **SCULLY, SCOTT, MURPHY & PRESSER**

400 Garden City Plaza

City: **Garden City**

State/Prov.: **NY**

Country: **U.S.A.**

ZIP: **11530**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

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11/27/1998 JMWATKINS 00000137 09126248

Statement and signature. **40.00 OP**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul J. Esatto, Jr.

Name of Person Signing

Signature

November 17, 1998

Date

3

Total number of pages including cover sheet, attachments, and

PATENT
REEL: 9603 FRAME: 0190

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, , the undersigned,

MAKOTO NISHIO of Tokyo, Japan and NAOYA HENMI of Tokyo, Japan

Hereby sell, assign and transfer to

NEC Corporation, a corporation

of Japan having a place of business at 7-1, Shiba 5-chome,
Minato-ku, Tokyo, Japan

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned

on November 6, 1998 and is entitled
OPTICAL COMMUNICATION NETWORK NODE

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent for said improvements, or any of them; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on any

and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: November 6, 1998 Makoto Nishio (L.S.)
MAKOTO NISHIO

Witness: Masayumi Takemachi
Mitsuya Asano

Date: November 6, 1998 Naoya Henmi (L.S.)
NAOYA HENMI

Witness: Masayumi Takemachi
Mitsuya Asano

Date: _____ (L.S.)

Witness: _____

Date: _____ (L.S.)

Witness: _____

Date: _____ (L.S.)

Witness: _____