12-01-1998



MRII RE	; 125 21 126 22 11 22 12 13 13 13 14 15 15 15 15 15 15 15 15 15 15	U.S. Department of Commerce
11.23.98	100910186	Patent and Trademark Office
To the Honorable Commissioner of Pa	atents and Trademarks: Please record the	attached original documents or copy thereof:
1. Name and address of conveying party(ies)	2. Name an	d address of receiving party:
Name: Dave S.B. Hoon	Name	John Wayne Cancer Institute
Address: 1911 Malcolm Avenue, Apt. 30	Ol Address:	2200 Santa Monica Boulevard
Los Angeles, CA 90025		Santa Monica, CA 90404
X Individual Asso	sciation Additional nam	e(s) of receiving party(ies) attached?
X Individual Asso General Partnership Limi Corporation, State of	ted Partnership	is (a) or receiving party (163) attached.
Corporation, State of		YesX No
Other:		
Additional name(s) of conveying party(ies) attaction Yes X No	ched?	
3. Nature of Conveyance:		
X Assignment	Merger	
Security Agreement	Change of Name	
	Other:	
Execution Date: October 16, 1998		
2. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.		
4. Application Number: 09/144,837	B. Patent No	umber(s): N/A
Typrication (various, 657)	B. Tatent N	umoer(s). WA
A. Patent Application Number(s).	Title:	
Tale DVA O	Filed:	
Title: <u>DNA Cancer Vaccine and Me</u> Filed: <u>August 31, 1998</u>		bers attached? Yes No
		Tes
Additional numbers attached? Yes	X No	
5. Name and address of party to whom corres	spondence concerning 6. Total num	ber of applications and patents involved: 1
this document should be mailed:	7. Total fee (27 C E D
Name: Nina K. Srejovic, Esq.	7. Total lee (37 C.F.R. § 3.41)(\$40.00 per assignment): \$40.00
1		closed
Address: McCutchen, Doyle, Brown & E		horized to be charged to deposit account.
<u>Three Embarcadero Center</u> <u>San Francisco</u> , California 9411		Commissioner is authorized to charge underpayment
San Francisco, Camoma 9411		my fees or credit any overpayment to deposit Accountinuous 13-0257.
	Tytti	
	8. Deposit Ac	ccount Number:
	DO NOT USE THIS SPACE	
9. Statement and signature.		
To the best of my knowledge and belief, the of the original document.	ie foregoing information is true and correct	ct and any attached copy is a true copy
g	~ ~ ~ ~ /	/
N. W. O. V. J. T. J. T. J.	クードート	
Nina K. Srejovic, Fisq., Reg. No. 40,342 Name, Registration Number	Signature	November / , 1998 Date
GUYEN 00000028 09144837	Signature (Date
VVVVVVLU V319405/	Total number of pages including c	cover sheet, attachments and document: 3
40.00 OP		
Mail documents to be recorded with	Commissioner of Patents and Trademar	ks
required cover sheet information to:	Box Assignments	
	Washington, D.C. 20231	

AB983200.075 Docket No. 21144-704-00 US

11/30/1998 01 FC:581

11/16/98 02:00 PM

PATENT APPLICATION Assignment

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, inventor:

Dave S.B. Hoon

Who has created a certain invention for which an application for United States Letters Patent entitled DNA CANCER VACCINE AND METHODS FOR ITS USE, the specification of which was assigned Serial No. 09/144,837 and filed on August 31, 1998;

Do hereby sell, assign and transfer to John Wayne Cancer Institute, a corporation, having a place of business at 2200 Santa Monica Boulevard, Santa Monica, CA 90404, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, act, and treaties, including all future conventions, unions, agreements, acts, and treaties:

Agree that John Wayne Cancer Institute hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

AB982250.099 Docket No. 21144-704-00 US

PATENT REEL: 9604 FRAME: 0665 IN THE TESTIMONY WHEREOF I have hereunto set my signature on the date indicated below.

Dave S.B. Hoon

Date: 10/16/

RECORDED: 11/23/1998