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Docket No. 0653-4046

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Peter RODHAMMER & Deitmar SPRENGER

Serial No. : 09/177,203 Group Art Unit: TBA

Filed : October 22, 1998 Examiner: TBA

For : **METHOD FOR MANUFACTURING A ROTARY ANODE ASSEMBLY**

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

Peter RODHAMMER & Deitmar SPRENGER

2. Name and address of receiving party/parties:

Name SCHWARZKOPF TECHNOLOGIES

Internal Address: \_\_\_\_\_

Street Address: 115 Constitution Boulevard

City Franklin State MA ZIP 02038

☐ Additional names and addresses attached.

3. Name of conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement  
☐ Change of Name ☐ Other \_\_\_\_\_

Execution Date: October 27, 1998 and November 4, 1998.

4. Application Number(s) or Patent Number(s):

☐ This document is being filed together with a new application which was executed on

☒ Patent Application No.(s) 09/177,203

[ ] Patent No.(s)\_\_\_\_\_.

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154

6. Total number of applications and patents involved: One (1).

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00.

[X] A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

[ ] Charge fee to Deposit Account No. 13-4500. Order No. 0653-4046.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 0653-4046.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.



By: Chris L. Holm

Dated: November 17, 1998

Registration No. 39,227

CORRESPONDENCE ADDRESS: Total number of pages including the recordation cover sheet 5

MORGAN & FINNEGAN, L.L.P.  
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(212) 758-4800  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)** : Peter RODHAMMER & Deitmar SPRENGER  
**Serial No.** : 09/177,203 **Group Art Unit** : TBA  
**Filed** : October 22, 1998 **Examiner** : TBA  
**For** : *METHOD FOR MANUFACTURING A ROTARY ANODE  
ASSEMBLY*

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

**Box Assignments**  
**ASSISTANT COMMISSIONER OF PATENTS**  
**Washington, D.C. 20231**

Sir:

I hereby certify that the attached:

1. Recordation Cover Sheet (in duplicate);
2. Assignment Document;
3. Check for \$40.00; and
4. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

  
Chris L. Holm

Nov 18, 1998

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154  
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11/30/1998 JWA/KLS 0000042 09177203

*file ok*

27. Okt. 1998

PATENT  
Docket No.: 0653-4046

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Peter RÖDHAMMER, Kirchweg 20, A-6600 Reutte, Austria &  
Dietmar SPRENGER, Ehrenbergstrashe 43, A-6600 Reutte, Austria

(hereinafter referred to as ASSIGNOR(S)), has made a discovery or invention entitled:

**METHOD FOR MANUFACTURING A ROTARY ANODE ASSEMBLY**

[X] for which application for Letters Patent of the United States has been executed on even date herewith,

[ ] for which application for Letters Patent of the United States has been filed on TBA, under Serial No. TBA,  
and

WHEREAS:

SCHWARZKOPF TECHNOLOGIES CORP., 115 Constitution Boulevard, Franklin, MA 02038  
(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of \_\_\_\_\_ Dollar (\$ \_\_\_\_\_), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behoof of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors, legal

representatives, or assigns, as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that if reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, I will, at any time, when called upon to do so by the ASSIGNEE its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

  
Peter RÖDHAMMER

Peter RÖDHAMMER

Citizenship: Austria

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 27<sup>th</sup> day of Oct, 1998.

STATE OF )  
 ) ss.:  
COUNTY OF )

On this \_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

**Notary Public**

Assignor's signature:   
Dietmar SPRENGER

Citizenship: Austria

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 4<sup>th</sup> day of Nov, 1998.

STATE OF )  
ss.:  
COUNTY OF )

On this \_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_, before me, the undersigned authority, personally appeared

to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

\_\_\_\_\_  
Notary Public