

11-20-98

12-02-1998

Form PTO-1595 (Rev 6-93)
OMB No. 0651-0011 (exp. 4/94)

RE



100909760

U.S. DEPARTMENT OF COMMERCE
Patents and Trademark Office

to the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

V. J. AKSYUK
B. P. BARBER
D. J. BISHOP
C. R. GILES
L. W. STULZ
R. R. RUELAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

MRD 11-20-98

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other _____

Execution Date: NOV. 12, 13 and 18, 1998

2. Name and address of receiving party(ies):

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue, Room 3C-512
PO Box 636

City: Murray Hill State: N.J. Zip: 07974-0636

Additional name(s) & address(es) entered? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: NOV. 12, 13 and 18, 1998

A. Patent Application No(s).

B. Patent No(s).

09/197317

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be addressed:

Name: Arthur J. Torsiglieri

Internal Address: _____

Street Address: 2 Linden Lane

City: Chatham State: NJ Zip: 07928

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number:

Deposit Account No. 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur J. Torsiglieri

Name of Person Signing

Arthur J. Torsiglieri

Signature

Case 15-6-23-41-5-12

Case

Total number of pages including cover sheet, attachments, and document: _____

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

12/01/1998 SBURNS 00000010 122325 09197317

40.00 CH

PATENT
REEL: 9607 FRAME: 0462

ASSIGNMENT AND AGREEMENT

For value received, we, Vladimir J. Aksyuk, of Piscataway in the County of Middlesex and State of New Jersey, Bradlep P. Barber, of Basking Ridge in the County of Somerset and State of New Jersey, David J. Bishop, of Summit in the County of Union and State of New Jersey, Clinton R. Giles, of Whippany in the County of Morris and the State of New Jersey, Lawrence W. Stulz, of Shark River Hills in the County of Monmouth and State of New Jersey, and Rene R. Ruel, of Bridgewater in the County of Somerset and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Optical Switch** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

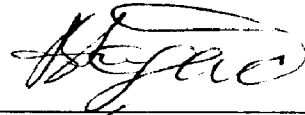
We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies may designate.

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Vladimir J. Aksyuk

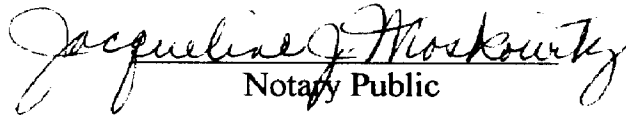
A

Date: 11/12/98

United States of America)


State of NY) ss.:County of Union)

On this 12th day of November, 1998, before me personally came Vladimir J. Aksyuk, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

JACQUELINE J. MOSKOWITZ
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 01/22/2003

- 3 -


Bradley P. Barber
BB. y


Date: 11/12/98

United States of America)

State of NY) ss.:County of Union)

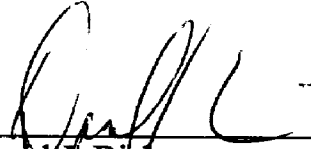
On this 12th day of November, 1998, before me personally came Bradley P. Barber, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Y


Notary Public

JACQUELINE J. MOSKOWITZ
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 01/22/2003

- 4 -



 David J. Bishop

Date: 11/12/98

United States of America)

State of NJ) ss.:

County of Union)

On this 12th day of November, 1998, before me personally came David J. Bishop, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



 Notary Public

JACQUELINE J. MOSKOWITZ
 NOTARY PUBLIC OF NEW JERSEY
 My Commission Expires 01/22/2003

- 5 -

Clinton R. Giles
Clinton R. Giles

Date: Nov 18 / 98

United States of America)

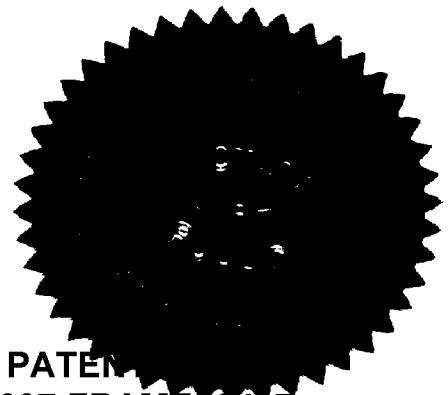
State of NJ) ss.:

County of Morrmouth)

On this 18 day of November, 1998, before me personally came Clinton R. Giles, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Francine Renee LaFavor
Notary Public

FRANCINE RENEE LAFAVOR
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES OCTOBER 24, 2000



PATENT
REEL: 9607 FRAME: 0467

- 6 -

Lawrence W. Stulz
Lawrence W. Stulz

Date: 11/13/98

United States of America)

State of N.J.) ss.: 051-30-7571

County of Monmouth)

On this 13 day of November, 1998, before me personally came Lawrence W. Stulz, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

[Signature]
Notary Public

MY COMMISSION EXPIRES
2-13-2002

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Rene R. Ruel

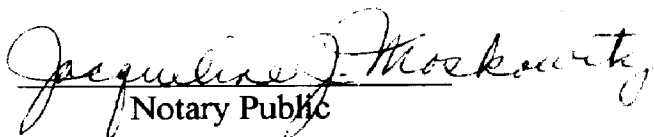
Date: 11/12/98

United States of America)

State of NY) ss.:

County of Union)

On this 12th day of November, 1998, before me personally came Rene R. Ruel, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P.O. Box 636
Murray Hill, New Jersey 07974-0636

JACQUELINE J. MOSKOWITZ
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 01/22/2003