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FORM PTO-1595
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11-24-98

RE



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

William Baxter Joyce
Daniel Paul Wilt

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: 11/23/98 & 11/24/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/23/98 & 11/24/98

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jean-Marc Zimmerman, Esq.

Internal Address: _____

Street Address: 226 St. Paul Street

City: Westfield State: NJ ZIP: 07090

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jean-Marc Zimmerman
Name of Person Signing

Jean-Marc Zimmerman
Signature

11/24/98
Date

Total number of pages including cover sheet, attachments, and document: 4

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Mail documents to be recorded with required cover sheet information to:

12/02/1998 SBURNS 00000023 122325 09199092 Commissioner of Patents & Trademarks, Box Assignments

01 FC:581 40.00 CH

PATENT
REEL: 9609 FRAME: 0156

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ASSIGNMENT AND AGREEMENT

For value received, we, William Baxter. Joyce of Basking Ridge in the County of Somerset and State of NJ, and Daniel Paul Wilt of Orefield in the County of Lehigh and State of PA hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, USA, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to A Method For Aligning The Optical Fiber And Laser Of Fiber-Optic Laser Modules described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

I authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

I request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

I agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Joyce 11-4

William Baxter Joyce
William Baxter Joyce

Date: November 23, 1998

United States of America)

State of New Jersey) ss.:

City of Basking Ridge)

On this 23 day of November 1998, before me personally came William Baxter Joyce, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Deborah B. Cornish
Notary Public

DEBORAH B. CORNISH
NOTARY PUBLIC OF NEW JERSEY
REGISTERED IN MORRIS COUNTY
MY COMM. EXP. JAN. 14, 2003

Joyce 11-4

Daniel Paul Wilt
Daniel Paul Wilt

Date: November 24 1998

United States of America)
State of ~~New Jersey~~ Pennsylvania) ss.:
delh
County of Lehigh delh)
City of Ortfield

On this 24th day of November 1998, before me personally came Daniel Paul Wilt, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Diane L. Hughes
Notary Public

Notarial Seal
Diane L. Hughes, Notary Public
Upper Macungie Twp., Lehigh County
My Commission Expires Jan. 2, 1999
Member, Pennsylvania Association of Notaries