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HEET U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

1. Name of conveying party(ies):

Carl J. RADENS
David KOTECKIAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: September 24, 1998

2. Name and address of receiving party(ies)

Name: International Business Machines Corporation

Internal Address: _____

Street Address: _____

City: Armonk State: NY ZIP: 10504Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

09123,298

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/123,298

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elsa Keller

Internal Address: _____

Siemens CorporationIntellectual Property DepartmentStreet Address: 186 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

19-2179

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donald B. Paschburg, Reg. No. 33,753

Name of Person Signing

Signature

Donald B. Paschburg

November 17, 1998

Date

Total number of pages including cover sheet, attachments, and document: 2PATENT
REEL: 9611 FRAME: 0546

ASSIGNMENT

WHEREAS, I,

(1) **Carl J. RADENS** of LaGrangeville,
County of and State of New York

(2) **David KOTECKI** of Hopewell Junction,
County of and State of New York

have invented certain improvements in **TAPERED ELECTRODE FOR STACK CAPACITORS**

and executed a United States patent application therefor on:
(dates that Inventors signed the declaration)

(1) 24 September 1998 (2) 24 September 1998

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire, right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and the invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed
(city and date)

(1) at East Fishkill, NY
on 24 September 1998



Carl J. RADENS

(2) at East Fishkill, NY
on 24 September 1998



David KOTECKI