

12-04-1998

FORM PTO-1595
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

100913939

MAILED 11-27-98

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gregory E. Costley
Thomas S. Lane
Larry R. Mitzelfelt, Jr.
Jeffrey R. RiesAdditional Names attached: ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Internal Address:

Intellectual Property Department

Street Address:

100 N.E. Adams StreetCity: PeoriaState: IllinoisZip: 61629-6490

3. Nature of Conveyance:

☒ Assignment
☐ Security Agreement
☐ Other

Execution Date(s):

Additional name(s) & addresses
attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No.(s)

09/156,184, filed: September 17, 1998

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ NoTitle of Invention: MIN/MAX SPEED GOVERNOR FOR AN INTERNAL COMBUSTION ENGINEAttorney Docket No.: 97-487

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric M. Bram
CATERPILLAR INC.Internal Address: Intellectual Property DepartmentStreet Address: 100 N. E. Adams StreetCity: Peoria State: ILZip: 61629-6490

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR §3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 03-1129
(If charged duplicate copy attached)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric M. Bram

Name of Person Signing

Signature

10/23/98

Date

Total number of pages comprising cover sheet, attachments, and document: [4]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

12/03/1998 SBURNS

00000088 031129 09156184

01 FC:581

40.00 CH

PATENT
REEL: 9611 FRAME: 0838

ASSIGNMENT

WHEREAS, GREGORY E. COSTLEY; THOMAS S. LANE; LARRY R. MITZELFELT, JR.; and JEFFREY R. RIES, residing respectively at Lacon, Illinois; Metamora, Illinois; Metamora, Illinois; and Metamora, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

MIN/MAX SPEED GOVERNOR FOR AN INTERNAL COMBUSTION ENGINE

for which ASSIGNORS have made application for Letters Patent in the United States of America, such invention being described and identified by said application identified as Application No. 09/156,184, filed September 17, 1998; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

JEFFREY R. RIES

Date

IN WITNESS OF the execution hereof by JEFFREY R. RIES we have hereunto set
our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

ASSIGNMENT

WHEREAS, GREGORY E. COSTLEY; THOMAS S. LANE; LARRY R. MITZELFELT, JR.; and JEFFREY R. RIES, residing respectively at Lacon, Illinois; Metamora, Illinois; Metamora, Illinois; and Metamora, Illinois, hereinafter referred to as ASSIGNORS, have invented a new and useful

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IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

GREGORY E. COSTLEY

Date

IN WITNESS OF the execution hereof by GREGORY E. COSTLEY we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

Thomas S. Lane
THOMAS S. LANE

11-9-98
Date

IN WITNESS OF the execution hereof by THOMAS S. LANE we have hereunto set our hands.

Wesley Slough 11/9/98
Witness (1) (Date)

William F. Duff 11/9/98
Witness (2) (Date)

Address: 101 Northfield Dr, Apt. J-2

Address: 1338 N. Hickory Hills Rd

Normal IL, 61761

METAMORA, IL 61548

Wes Slough

LARRY R. MITZELFELT, JR.

Date

IN WITNESS OF the execution hereof by LARRY R. MITZELFELT, JR. we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

JEFFREY R. RIES

Date

IN WITNESS OF the execution hereof by JEFFREY R. RIES we have hereunto set
our hands.

Witness (1) (Date)

Address: _____

Witness (2) (Date)

Address: _____

ASSIGNMENT

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IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

GREGORY E. COSTLEY

Date

IN WITNESS OF the execution hereof by GREGORY E. COSTLEY we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

THOMAS S. LANE

Date

IN WITNESS OF the execution hereof by THOMAS S. LANE we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

Larry R. Mitzelfelt, Jr.
LARRY R. MITZELFELT, JR.

11/10/98
Date

IN WITNESS OF the execution hereof by LARRY R. MITZELFELT, JR. we have hereunto set our hands.

Wesley Stough 11/10/98
Witness (1) (Date)

Kenneth F. Von Qual
Witness (2) (Date)

Address: 101 Northfield Dr. Apt 2

Address: 7030 N. Woods Rd.

Normal, IL 60421

Gardner IL 60424

Wesley Stough

Kenneth F. Von Qual

JEFFREY R. RIES

Date

IN WITNESS OF the execution hereof by JEFFREY R. RIES we have hereunto set
our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

ASSIGNMENT

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IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

GREGORY E. COSTLEY

Date

IN WITNESS OF the execution hereof by GREGORY E. COSTLEY we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

THOMAS S. LANE

Date

IN WITNESS OF the execution hereof by THOMAS S. LANE we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

LARRY R. MITZELFELT, JR.

Date

IN WITNESS OF the execution hereof by LARRY R. MITZELFELT, JR. we have hereunto set our hands.

Witness (1) (Date)

Witness (2) (Date)

Address: _____

Address: _____

Jeffrey R. Ries
JEFFREY R. RIES

11/10/98
Date

IN WITNESS OF the execution hereof by JEFFREY R. RIES we have hereunto set our hands.

Jack N. Seibel
Witness (1) (Date)

Address: _____

4935 W. SWORDS CIRCLE
PEORIA IL 61604

Randal J. Charvillat
Witness (2) (Date)

Address: _____

29 MANITOWA CT
SPARLAND, IL 61565