

12-07-1998

FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



100914250

COVER SHEET
ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

- 2437

Tab settings □ □ □ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul W. Rockley and
Kenneth E. KadziauskasAdditional name(s) of conveying party(ies) attached? □ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment (2) ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: Oct. 28, 1998 and
Nov. 16, 1998

2. Name and address of receiving party(ies)

Name: ALLERGAN SALES INC.

Internal Address: _____

Street Address: 2525 Dupont Drive

City: Irvine State: CA ZIP: 92612

Additional name(s) & address(es) attached? □ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application ~~NO~~ Serial No.09/182,383
Filed 10-29-98

B. Patent No.(s)

Additional numbers attached? □ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Baran, Esq.

ALLERGAN

Internal Address: _____

Street Address: 2525 Dupont Drive

City: Irvine State: CA ZIP: 92612

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$~~400.00~~ \$80.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

12/03/1998 JMWTKINS 00000010 09182383

DO NOT USE THIS SPACE

01 FC:581 80.00 US

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4Mail documents to be recorded with required cover sheet information to
Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20221

PATENT
REEL: 9612 FRAME: 0530

ASSIGNMENT

WHEREAS, WE, Paul W. Rockley, citizen of Canada, residing at:

14 Mattina Drive, Newport Coast, CA 92657; and Kenneth E. Kadziauskas,

citizen of USA, residing at: 49 Grassy Knoll Lane, Las Flores, CA

92688,

respectively, have invented certain new and useful
Improvements in CONTROLLED OUTFLOW SLEEVE

for which we have executed an application for Letters Patent
of the United States, _____

WHEREAS, ALLERGAN SALES, INC.

2525 Dupont Drive, Irvine, CA 92612, U.S.A.

is desirous of obtaining the entire right, title and interest in the said Improvements and the said application:
CONTROLLED OUTFLOW SLEEVE.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby severally and jointly, sell, assign, transfer and set over, unto the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, our respective rights, titles and interests, and the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ALLERGAN SALES, INC.

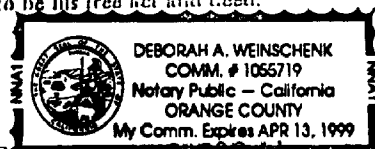
its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28th
day of October, 1998.

State of California } ss:
County of Orange

On this 28th day of October, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared Kenneth E. Kadziauskas known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

SEAL.



Deborah A. Weinschenk
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 16th
day of November, 1998.

State of _____ } ss:
County of _____

On this _____ day of _____, 19____, before me, a Notary Public in and for the State and County aforesaid, personally appeared _____ known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

SEAL.

PATENT
REEL: 9612 FRAME: 0531
Notary Public

See attached
acknowledgment

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

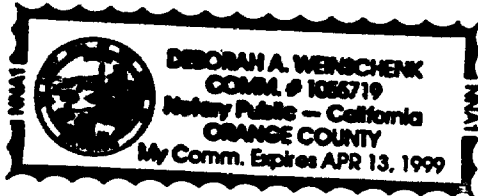
State of California

County of Orange

On Nov. 16, 1998 before me, Deborah A. Weinschenk, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Paul W. Rockley,
Name(s) of Signer(s)

☐ personally known to me ~~OR~~ ☒ proved to me on the basis of satisfactory evidence to be the person ☒ whose name ☒ is subscribed to the within instrument and acknowledged to me that ☒ he/she/they executed the same in ☒ his/her/their authorized capacity ☒ (as), and that by ☒ his/her/their signature ☒ on the instrument the person ☒ (as), or the entity upon behalf of which the person ☒ acted, executed the instrument.



WITNESS my hand and official seal.

Deborah A. Weinschenk
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment "to be" Controlled at How Steve"

Document Date: Nov. 16, 1998 Number of Pages: 1

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer
Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

ASSIGNMENT

WHEREAS, WE, Paul W. Rockley, citizen of Canada, residing at:

14 Mattina Drive, Newport Coast, CA 92657; and Kenneth E. Kadziauskas,
citizen of USA, residing at: 49 Grassy Knoll Lane, Las Flores, CA
92688, ~~respectively~~ have invented certain new and useful
 Improvements in CONTROLLED OUTFLOW SLEEVE

for which we have executed an application for Letters Patent
of the United States,

WHEREAS, ALLERGAN SALES, INC.

2525 Dupont Drive, Irvine, CA 92612, U.S.A.

is desirous of obtaining the entire right, title and interest in the said improvements and the said application:
CONTROLLED OUTFLOW SLEEVE.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to each of us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby severally and jointly, sell, assign, transfer and set over, unto the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, our respective rights, titles and interests, and the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ALLERGAN SALES, INC.

its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ALLERGAN SALES, INC.

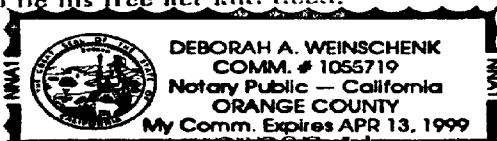
its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28th
 day of October, 1098. ✓ [Signature] ✓

State of California } ss.:
 County of Orange }

On this 28th day of October, 1098, before me, a Notary Public in
 and for the State and County aforesaid, personally appeared Kenneth E. Kadziauskas
 known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

SEAL.



Deborah A. Weinschenk
 Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____
 day of _____, 10____.

State of _____ } ss.:
 County of _____ }

On this _____ day of _____, 10____, before me, a Notary Public in
 and for the State and County aforesaid, personally appeared _____
 known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be his free act and deed.

SEAL.

RECORDED: 11/24/1998

PATENT Notary Public
 REEL: 9612 FRAME: 0533 P.O.