

12-07-1998

R SHEET

U.S. Department of Commerce

Patent and Trademark Office



To the Honorable Commissioner c

100914901

e attached original documents or copy thereof

1. Name of conveying party(ies):

Peter Safar
S. William Stezoski
Miroslav Klain

2. Name and address of receiving party(ies):

University of Pittsburgh of the Commonwealth
System of Higher Education, a non-profit
Pennsylvania corporation
911 William Pitt Union
Pittsburgh, Pennsylvania

Add name(s) of conveying party(ies) attached? Y__ N x

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Execution Date: July 29, 1996

Additional name(s) & address(es) attached?

Y__ N x

JCS18 U.S. PTO

09/152589

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: September 14, 1998

A. Patent Application No.(s)

09/152589

B. Patent No.(s)

Additional numbers attached? Y__ N x

5. Name and address of party to whom correspondence concerning document should be mailed:

Vern Schooley, Esq.
Fulwider Patton Lee & Uecht, LLP
200 Oceangate, Suite 1550
Long Beach, California 90801

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Charge to deposit account

8. Deposit account number: 21-0800
(Duplicate attached)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Vern Schooley, Esq.

September 14, 1998

Total number of pages comprising cover sheet: 1

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A S S I G N M E N T

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we, **PETER SAFAR, S. WILLIAM STEZOSKI, and MIROSLAV KLAIN**, citizens of the United States of America, residing, respectively at 243 Hoodridge Drive, Pittsburgh, Pennsylvania 15234; 243 Oak Road, Pittsburgh, Pennsylvania 15239; and 2068 Outlook Drive, Pittsburgh, Pennsylvania 15241;

Hereby sell, assign and transfer to the **University of Pittsburgh of the Commonwealth System of Higher Education**, a non-profit Pennsylvania corporation, having a place of business at 911 William Pitt Union, Pittsburgh, Pennsylvania 15260, in the County of Allegheny and Commonwealth of Pennsylvania, its successors, assigns and legal representatives, our entire right, title and interest, for all countries, in and to any and all improvements which are disclosed and claimed, and any and all improvements which are disclosed but not claimed, in United States Patent Application Serial No. **08/637,861**, filed **April 25, 1996** for **PORTABLE AND MODULAR CARDIOPULMONARY BYPASS APPARATUS AND ASSOCIATED AORTIC BALLOON CATHETER AND ASSOCIATED METHOD**, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents and Trademarks to issue to said Assignee, the University of Pittsburgh of the Commonwealth System of Higher Education, its successors, assigns and legal representatives, in accordance with this Assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment we will, for all countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths and other papers; communicate to said Assignee,

it successors, assigns, and representatives, all facts known to us relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements, and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives;

Covenant with said Assignee, its successors, assigns and legal representatives that we have made to others no assignment, grant, mortgage, license or other agreement affecting the rights and property conveyed, and that we have full right to convey the same as herein expressed.

EXECUTED July 29, 1996

Peter Safar (SEAL)
PETER SAFAR

COMMONWEALTH OF PENNSYLVANIA)
) SS:
COUNTY OF ALLEGHENY)

Before me this 29th day of July, 1996, personally appeared **PETER SAFAR**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

(Affix Seal Here)

Kathleen A. Prunty
Notary Public
Notarial Seal
Kathleen A. Prunty, Notary Public
Pittsburgh, Allegheny County
My Commission Expires July 28, 1997
Member, Pennsylvania Association of Notaries

EXECUTED July 29, 1996

S. William Stezoski (SEAL)
S. WILLIAM STEZOSKI

COMMONWEALTH OF PENNSYLVANIA)
) SS:
COUNTY OF ALLEGHENY)

Before me this 29th day of July, 1996, personally appeared **S. WILLIAM STEZOSKI**, to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

(Affix Seal Here)

Kathleen A. Prunte
Notary Public
Notarial Seal
Kathleen A. Prunte, Notary Public
Pittsburgh, Allegheny County
My Commission Expires July 28, 1997
Member, Pennsylvania Association of Notaries

EXECUTED July 29, 1996

Miroslav Klain (SEAL)
MIROSLAV KLAIN

COMMONWEALTH OF PENNSYLVANIA)
) SS:
COUNTY OF ALLEGHENY)

Before me this 29th day of July, 1996, personally appeared **MIROSLAV KLAIN** to me personally known to be the person who is described in and who executed the above instrument, and he acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

(Affix Seal Here)

Kathleen A. Prunte
Notary Public
Notarial Seal
Kathleen A. Prunte, Notary Public
Pittsburgh, Allegheny County
My Commission Expires July 28, 1997
Member, Pennsylvania Association of Notaries