



12-10-1998



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DEPARTMENT OF COMMERCE  
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FORM PTO-1595  
(Rev. 6-93)

OMB No. 0631-0011 (exp. 4-94)

RECORDATION  
**PATENTS ONLY**

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christina Montero

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Guardian Properties LLC

Internal Address: \_\_\_\_\_

Street Address: 515 Spades Road

City: Sagle State: WA ZIP: 98860

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

5685079

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID S. THOMPSON

Internal Address: \_\_\_\_\_

Street Address: 7 South Howard #418

City: Spokane State: WA ZIP: 99201

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40<sup>00</sup>

- Enclosed
- Authorized to be charged to deposit account

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(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID S. THOMPSON  
Name of Person Signing

Signature

13 Nov 1998  
Date

Total number of pages including cover sheet, attachments, and document:  4

Mail documents to be recorded with required cover sheet information to:  
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(Form PTO-1595-Recordation Form Cover Sheet [16-5]-page 1 of 3)

PATENT  
REEL: 9614 FRAME: 0735

86/02/11

Attorney's Docket No. \_\_\_\_\_

**PATENT**

- For:  U.S. and/or  Foreign Rights
- For:  U.S. Application or  
 U.S. Provisional Application
- For:  U.S. Patent
- For:  PCT Application
- By:  Inventor(s) or  Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or entity(ies) who own the invention

Christina A. Montero  
(type or print name(s) of ASSIGNOR(S))

P.O. Box 818  
Address

Sagle ID 83860

USA  
Nationality

*(if assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO, add the following)*

Recorded on 05-19-1997 Reel 8556  
 Frame 0648

hereby sells, assigns and transfers to

**ASSIGNEE:**

Guardian Properties, LLC  
(type or print name(s) of ASSIGNEE(S))

515 Spades Road  
Address

Sagle, ID 83860

Idaho Limited Liability Company  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

the entire right, title and interest

an undivided \_\_\_\_\_ percent (\_\_\_\_\_%) interest

for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

Locking Mechanism for a Folding Knife

Name of inventor(s) Robert L. Brothers, Bruce R. Taylor

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a)  U.S. patent application executed on even date herewith

(b)  U.S. patent application executed on \_\_\_\_\_

(c)  U.S. provisional application naming the above inventor(s) for the above-entitled invention.

Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d)  U.S. application no. \_\_\_\_\_ / \_\_\_\_\_  
filed on \_\_\_\_\_

(e)  International application no. PCT/ \_\_\_\_\_ / \_\_\_\_\_

(f)  U.S. patent no. 5685079 issued Nov 11, 1997

A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

(Assignment of Invention [16-3]—page 2 of 3)

PATENT

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this 13<sup>th</sup> day of November, 1998  
Date of signing

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Date: 11-13-98

[Handwritten Signature]  
Signature of ASSIGNOR(S)

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

(Assignment of Invention [16-3]—page 3 of 3)