

12-09-1998

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## 1. Name of conveying party(ies):

KUNIKAZU SAKAI  
YASUO KAMURO  
HIROKI KURIYAMA

12-4-98

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 10, 1998

## 2. Name and address of receiving party(ies):

Name: SAGAMI CHEMICAL RESEARCH CENTER  
Name: TAMA BIOCHEMICAL CO., LTD.  
Name: BAL PLANNING CO., LTD.Street Address: 4-1, Nishi-Ohnuma 4-chome, Sagami-hara-shi  
Street Address: 7-1, Nishishinjuku 2-chome, Shinjuku-ku  
Street Address: 15-16, Hanaike 2-chome, Ichinomiya-shiCity, State and Zip: KANAGAWA 229-0012, JAPAN  
City, State and Zip: TOKYO 163-0704, JAPAN  
City, State and Zip: AICHI 491-0914, JAPAN

Additional Name(s) &amp; address(es) attached?

☐ Yes☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/117,937

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael R. Ward, Esq.Internal Address: Limbach & Limbach L.L.P.  
Street Address: 2001 Ferry Building  
City, State, ZIP: San Francisco, California 94111  
Telephone: (415) 433-4150  
Facsimile: (415) 433-8716Attorney Docket No. HIRA-2300 [PH-567PCT-US]

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☒ Enclosed  
☒ Charge any deficiencies in the enclosed fee to  
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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Ward  
Name of Person SigningMichael R. Ward  
SignatureNovember 30, 1998  
Date

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## Assignment of Application

WHEREAS, I (WE) Kunikazu Sakai, Yasuo Kamuro and Hiroki Kuriyama  
of 18-5, Hamadayama 3-chome, Suginami-ku, Tokyo 168-0065 Japan,  
15-16, Hanaike 2-chome, Ichinomiya-shi, Aichi 491-0914 Japan and  
1434-6, Ninomiya, Ninomiyamachi, Naka-gun, Kanagawa 259-0123 Japan

\_\_\_\_\_, respectively,  
have invented certain new and useful improvements in: PLANT GROWTH  
PROMOTER

for which an application for Letters Patent was executed on September 10, 1998  
(Application No. 09/117,937 , filed \_\_\_\_\_), and

WHEREAS, Sagami Chemical Research Center, Tama Biochemical Co.,  
Ltd. and Bal Planning Co., Ltd.

(hereinafter referred to as "ASSIGNEE") having a place of business at: 4-1,  
Nishi-Ohnuma 4-chome, Sagamihara-shi, Kanagawa 229-0012 Japan,  
7-1, Nishishinjuku 2-chome, Shinjuku-ku, Tokyo 163-0704 Japan and  
15-16, Hanaike 2-chome, Ichinomiya-shi, Aichi 491-0914 Japan

is desirous of acquiring the entire right, title and interest in and to said invention  
and in and to any Letters Patent that may be granted therefore in the United  
States and its territorial possessions and in any and all foreign countries;

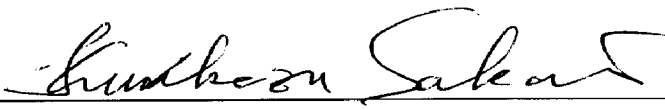
NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00),  
the receipt whereof is hereby acknowledged, and for other good and valuable  
consideration, I (WE), by these presents do sell, assign and transfer unto said  
ASSIGNEE, the full and exclusive right to the said invention in the United States  
and its territorial possessions and in all foreign countries and the entire right,  
title and interest in and to any and all Letters Patent which may be granted  
therefor in the United States and its territorial possessions and in any and all  
foreign countries and in and to any and all divisions, reissues, continuations,  
substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any official of any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

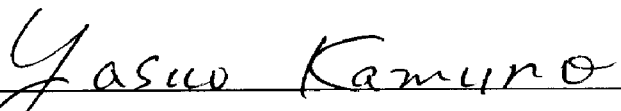
Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its legal representatives and assigns covenant and any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of LIMBACH & LIMBACH, L.L.P. of 2001 Ferry Building, San Francisco, California 94111-4262 U.S.A. the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: September 10, 1998

  
(Signature of inventor) Kunikazu Sakai

Date: September 10, 1998

  
(Signature of inventor) Yasuo Kamuro

Date: September 10, 1998

Hiroki Kuriyama  
(Signature of inventor) Hiroki Kuriyama

Date: \_\_\_\_\_

\_\_\_\_\_  
(Signature of inventor)

Date: \_\_\_\_\_

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(Signature of inventor)

Date: \_\_\_\_\_

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(Signature of inventor)

Date: \_\_\_\_\_

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(Signature of inventor)

Date: \_\_\_\_\_

\_\_\_\_\_  
(Signature of inventor)