

12-09-1998



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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Klemens MATHAUER, Walter MAECHTLE, Wolfgang SCHROF, Horst SCHUCH, Timo RAGER, Wolfgang MEYER and Gerhard WEGNER

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 10, 1998

2. Name and address of receiving party(ies):

Name: 1) BASF Aktiengesellschaft
2) Max-Planck-Institut

Address: 1) 67056 Ludwigshafen, Federal Republic of Germany
2) 55128 Mainz, Federal Republic of Germany

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)
09/101,778

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.
Attorneys at Law
Fourth Floor
1755 Jefferson Davis Highway
Arlington, Virginia 22202

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00
☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030
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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John K. Pike, Ph.D.
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Norman F. Oblon

Name of Person Signing

Signature

Date

Registration Number: 24,618

Total number of pages including this cover sheet: 3

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Washington, D.C. 20231

PATENT
REEL: 9620 FRAME: 0972

Assignment of Application

O. Z. 0050/46541

WHEREAS, I (WE)

Klemens Mathauer, Hornstr.10, 67061 Ludwigshafen
Walter Mächle, Dackenheim Str.23, 67067 Ludwigshafen
Wolfgang Schrof, In den Schelmenäckern 38, 67271 Neuleiningen
Horst Schuch, Kanzelbachstr.21, 68549 Ilvesheim
Timo Rager, Rheinallee 85, 55118 Mainz
Wolfgang Meyer, Senefelderstraße 8d, 55129 Mainz
Gerhard Wegner, Carl-Zuckmayer-Str. 1, 55127 Mainz
Federal Republic of Germany
citizen(s) of the Federal Republic of Germany

respectively, have invented certain new and useful improvements in

Preparation of an aqueous polymer dispersion by the free radical aqueous emulsion polymerization method

for which an application for Letters Patent was executed on February 10, 1998

(Application No. 09/101,778, filed July 22, 1998), and

WHEREAS, *BASF Aktiengesellschaft*, having a place of business at *67056 Ludwigshafen, Federal Republic of Germany* and *Max-Planck-Institut*, having a place of business at *55128 Mainz, Federal Republic of Germany*, (hereinafter referred to as "ASSIGNEES"), are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEES, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEES as the assignees of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEES, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEES, or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEES, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEES, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries

The undersigned hereby grant(s) the firm of **Oblon, Spivak, Mc.Clelland, Maier & Neustadt, P.C.** of Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 10/02/98

Klemens Mathauer

Date: 10/02/98

Walter Mächtle

Date: 10/02/98

Wolfgang Schrof

Date: 10/02/98

Horst Schuch

Date: 10/02/98

Timo Rager

Date: 10/02/98

Wolfgang Meyer

Date: 10/02/98

Gerhard Wegner