

12-09-1998

Docket No.: EN9-98-076

FORM PTO-1595 (Modified)  
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U.S. DEPARTMENT OF COMMERCE  
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100914849

Tab settings

To the Honorable Commissioner of Patents and Trademarks attached original documents or copy thereof

1. Name of conveying party(ies):

Bonnie S. McClure  
David B. Stone

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 11/9/98, 11/12/98

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 11/9/98, 11/12/98

A. Patent Application No.(s)

EN998076

B. Patent No.(s)

09-20 1482

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Spencer K. Warnick

Internal Address:

Schmeiser, Olsen & Watts

Street Address: 3 Lear Jet Lane, Suite 201

12/08/1998 DNGUYEN 00000184 090457 09201482

01 FC:581 40.00 CH

City: Latham State: NY ZIP: 12110

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0457(IBM)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lawrence R. Fraley, Reg. No. 26,885

Name of Person Signing

Signature

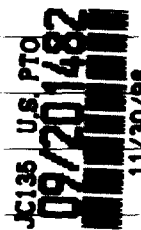
Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 9621 FRAME: 0190



IBM Docket No.:

EN998076

**ASSIGNMENT****Whereas, we**

Inventor and City	(1) <b>Bonnie S. McClure</b> County of <b>Broome</b>	City of <b>Endwell</b> and State of <b>New York</b>
and	(2) <b>David B. Stone</b> County of <b>Chittenden</b>	City of <b>South Burlington</b> and State of <b>Vermont</b>
and	(3) County of	City of and State of
and	(4) County of	City of and State of
and	(5) County of	City of and State of
and	(6) County of	City of and State of
and	(7) County of	City of and State of
and	(8) County of	City of and State of

**have invented certain improvements in**

Title **IMPROVED RESISTIVITY CONTROL OF CIC MATERIAL**

Dates That  
Inventors  
Signed the  
Declaration

**and have executed, respectively, a United States patent application therefor on**

(1) 11/12/98	and.	(2) 11/9/98	and.
(3)	and.	(4)	and.
(5)	and.	(6)	and.
(7)	and.	(8)	

**Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;**

**Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.**

(Signatures of Inventors on Page 2)

**PATENT****REEL: 9621 FRAME: 0191**

IBM Docket No.:  
EN998076

# ASSIGNMENT (Continued)

Title **IMPROVED RESISTIVITY CONTROL OF CIC MATERIAL**

City  
Date (1) Signed at Andover, MA  
on 11/12/98

Bonnie S. McClure  
(Signature of Inventor)

**Bonnie S. McClure**  
(Typed Name of Inventor)

City  
Date (2) Signed at Williston, VT  
on 11/9/98

David B. Stone  
(Signature of Inventor)

**David B. Stone**  
(Typed Name of Inventor)

City  
Date (3) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

City  
Date (4) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

City  
Date (5) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

City  
Date (6) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

City  
Date (7) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

City  
Date (8) Signed at  
on

(Signature of Inventor)

(Typed Name of Inventor)

**PATENT**