

FORM PTC-1595 (Modified)
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P08/REV02

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12-10-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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100915782

To the Honorable Commissioner of Patents and original documents or copy thereof.

1. Name of conveying party(ies):

Toshio Suzuki

MRD 12-3-98

2. Name and address of receiving party(ies):

Name: Dow Corning Asia, Ltd.

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 4th Floor, AIG Building, 1-1-3,

Marunouchi, Chiyoda-ku, Tokyo 100, Japan

City: State: ZIP:

Execution Date:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

DC4699

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dow Corning Corporation

Internal Address: Patent Department-Mail CO1232

Attn: Alexander Weitz

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

04-1520

Street Address: P.O. Box 994

12/10/1998 NGUYEN 00000112 041520 09204899

01 FC:581 40.00 CH

City: Midland State: MI ZIP: 48686

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alexander Weitz

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

PATENT
REEL: 9621 FRAME: 0852

ASSIGNMENT

WHEREAS I, Toshio Suzuki, of Midland, County of Midland, and State of Michigan, have invented certain new and useful improvements in

SILYL-FUNCTIONAL LIVING CATIONIC POLYMERS

for which application for United States Letters Patent has been executed by me on Nov. 19, 1998, and

WHEREAS, DOW CORNING ASIA, LTD., of 4th Floor, AIG Building, 1-1-3, Marunouchi, Chiyoda-ku, Tokyo 100, Japan, is desirous of acquiring the said invention and said application and any and all Letters Patent that may be obtained therefore or thereupon, and all reissues and extensions thereof;


NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, to me in hand paid by the said DOW CORNING ASIA, LTD., the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said DOW CORNING ASIA, LTD., its successors, and assigns, the full and exclusive right, title and interest in and to the said invention and said application for Letters Patent of the United States therefore, and in and to any Divisions and Continuations thereof and in and to any and all United States Letters Patent and reissues and extensions thereof that may be issued for the said invention, to have and to hold for its own use and behalf and the use and behalf of its successors and assigns as fully and entirely as the same might be enjoyed by me if this sale and assignment had not been made; and

HEREBY AUTHORIZE and request the Commissioner of Patents and Trademarks to issue the said Letters Patent and any reissues and extensions thereof to the said DOW CORNING ASIA, LTD. as assigns of the entire right, title and interest in and to the said invention and said application and Letters Patent issued therefore or thereupon; and

HEREBY AGREE to execute all instruments and documents within my power which may be necessary for the carrying out of this assignment in full; and to execute any divisional or continuing application or applications which may be necessary or proper to obtain full protection on the invention hereby assigned; and to execute any and all supplemental oaths and preliminary statements should the same be proper and necessary in the prosecution of the aforesaid applications; and

HEREBY FURTHER ASSIGN unto the said DOW CORNING ASIA, LTD., its successors, and assigns, the whole right, title and interest in and to the invention disclosed in the said application throughout all countries foreign to the United States, and do hereby ratify any acts of the said DOW CORNING ASIA, LTD., its successors and assigns, in applying for patents therefore in its own name in countries where such procedure is proper and do agree to execute applications for said invention in the several countries where it is necessary that the same be executed by the inventor, and to execute assignments of such applications and the patents to be obtained therefore to the said DOW CORNING ASIA, LTD., its successors and assigns.

IN WITNESS WHEREOF I have hereunto set my hand affixed my seal this 19th day of November, 1998.


TOSHIO SUZUKI

STATE OF MICHIGAN)
) SS
COUNTY OF BAY)

On this 19 day of November, 1998, before me personally appeared Toshio Suzuki to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.


Notary Public

LYNN L. STRAWN
Notary Public, Bay County, MI
My Commission Expires August 28, 2000

PATENT
REEL: 9621 FRAME: 0853

ASSIGNMENT

WHEREAS I, Toshio Suzuki, of Midland, County of Midland, and State of Michigan, have invented certain new and useful improvements in

SILYL-FUNCTIONAL INITIATOR FOR LIVING CATIONIC POLYMERIZATION

for which application for United States Letters Patent has been executed by me on Nov. 19, 1998, and

WHEREAS, DOW CORNING ASIA, LTD., of 4th Floor, AIG Building, 1-1-3, Marunouchi, Chiyoda-ku, Tokyo 100, Japan, is desirous of acquiring the said invention and said application and any and all Letters Patent that may be obtained therefore or thereupon, and all reissues and extensions thereof;

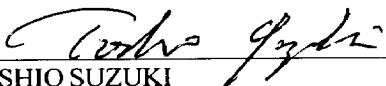
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other good and valuable considerations, to me in hand paid by the said DOW CORNING ASIA, LTD., the receipt of which is hereby acknowledged, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said DOW CORNING ASIA, LTD., its successors, and assigns, the full and exclusive right, title and interest in and to the said invention and said application for Letters Patent of the United States therefore, and in and to any Divisions and Continuations thereof and in and to any and all United States Letters Patent and reissues and extensions thereof that may be issued for the said invention, to have and to hold for its own use and behalf and the use and behalf of its successors and assigns as fully and entirely as the same might be enjoyed by me if this sale and assignment had not been made; and

HEREBY AUTHORIZE and request the Commissioner of Patents and Trademarks to issue the said Letters Patent and any reissues and extensions thereof to the said DOW CORNING ASIA, LTD. as assigns of the entire right, title and interest in and to the said invention and said application and Letters Patent issued therefore or thereupon; and

HEREBY AGREE to execute all instruments and documents within my power which may be necessary for the carrying out of this assignment in full; and to execute any divisional or continuing application or applications which may be necessary or proper to obtain full protection on the invention hereby assigned; and to execute any and all supplemental oaths and preliminary statements should the same be proper and necessary in the prosecution of the aforesaid applications; and

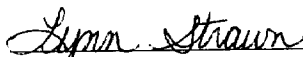
HEREBY FURTHER ASSIGN unto the said DOW CORNING ASIA, LTD., its successors, and assigns, the whole right, title and interest in and to the invention disclosed in the said application throughout all countries foreign to the United States, and do hereby ratify any acts of the said DOW CORNING ASIA, LTD., its successors and assigns, in applying for patents therefore in its own name in countries where such procedure is proper and do agree to execute applications for said invention in the several countries where it is necessary that the same be executed by the inventor, and to execute assignments of such applications and the patents to be obtained therefore to the said DOW CORNING ASIA, LTD., its successors and assigns.

IN WITNESS WHEREOF I have hereunto set my hand affixed my seal this 19th day of November, 1998.


TOSHIO SUZUKI

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) SS
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On this 19 day of November, 1998, before me personally appeared Toshio Suzuki to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.


Notary Public

LYNN L. STRAWN
Notary Public, Bay County, MI
My Commission Expires August 28, 2000

RECORDED: 12/03/1998

PATENT
REEL: 9621 FRAME: 0854