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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
RICHARD J. ERICSON  
71 HUNTING HILL DRIVE  
SOUTHINGTON, CT 06489  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: OTIS ELEVATOR CO.  
Internal Address: \_\_\_\_\_  
Street Address: 10 FARM SPRINGS ROAD  
City: FARMINGTON State: CT ZIP: 06032  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: November 20, 1998

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s)  
09/162,821  
B. Patent No.(s)  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: J. KEVIN GROGAN, ESQ.  
Internal Address: \_\_\_\_\_  
MCCORMICK, PAULDING & HUBER LLP  
SIS CENTER  
Street Address: 1441 MAIN STREET  
City: SPRINGFIELD State: MA ZIP: 01103

6. Total number of applications and patents involved: 1  
7. Total fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
13-0235  
(Attach duplicate copy of this page if paying by deposit account)

12/09/1998 DNGUYEN 00000285 09162821 DO NOT USE THIS SPACE  
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9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  
J. KEVIN GROGAN, ESQ.  
Name of Person Signing  
Signature  
Date 11/22/98  
Total number of pages including cover sheet, attachments, and document: 3

## ASSIGNMENT

THIS INSTRUMENT OF ASSIGNMENT WITNESSETH THAT:  
WHEREAS, I, Richard J. Ericson

resident of 71 Hunting Hill Drive  
Southington, Connecticut 06489

respectively, has invented improvements in

**ELEVATOR SYSTEM HAVING DRIVE MOTOR LOCATED BELOW THE  
ELEVATOR CAR**

for which an application for a United States Patent was filed on September 29, 1998,  
Application number 09/162,821, and

WHEREAS, Otis Elevator Co.

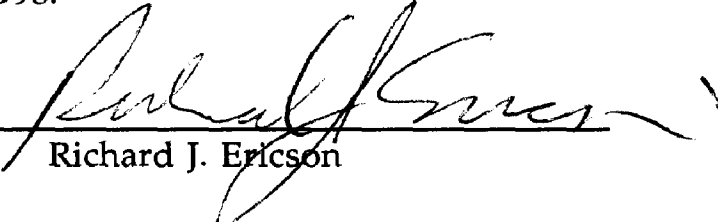
a Company organized under the Laws of the State of New Jersey, and  
having a place of business at Farmington, Connecticut

is desirous of acquiring an interest in said invention, said application and the Letters  
Patent to be issued therefor;


NOW, THEREFORE, to all whom it may concern, be it known that for  
and in consideration of the sum of ONE DOLLAR to each in hand paid and other  
good and valuable consideration, the receipt whereof is hereby acknowledged, I  
have sold, assigned and set over, and do hereby sell, assign and set over to said  
corporation, its successors or assigns, the entire right, title and interest to and in said  
invention in the United States and in all foreign countries, said United States  
application for Letters Patent therefor and the Letters Patent when issued; and I do  
hereby authorize and request the Commissioner of Patent and Trademarks to issue  
the Letters Patent based upon said application to said corporation as the assignees of  
my entire right, title and interest to and in the same, for the sole use and behoof of  
said corporation, its successors or assigns.

And I also hereby covenant and agree to sign all proper papers  
including divisional and other applications for patents and assignments thereof in  
the United States and application for patents and assignments in all foreign  
countries, and to execute all rightful oaths and to take any other proper action that  
may in the judgment of the said corporation be necessary for securing thereto full  
rights to said invention, all of the foregoing to be at the expense of said corporation.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed  
my seal this 20<sup>th</sup> day of Nov , 1998.

  
Richard J. Ericson

On this 20<sup>th</sup> day of November , 1998, before me, the subscriber, Richard J. Ericson personally came to me, known and known to be the same person described in and who executed the foregoing instrument, and duly acknowledged that he executed the same.

  
Notary Public

[SEAL]

My Commission Exp. July 31, 2002