

12-10-1998

FORM PTO-



M COVER SHEET

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100916467

To the HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kim Byron Roberts, Franz Li Hsien Mok, Richard Achille Habel and Nigel Edward Jolley

Additional name(s) of conveying party(ies) attached? _ Yes X No

3. Nature of conveyance:

X Assignment _ Merger

_ Security Agreement _ Change of Name

_ Other _____

Execution Date: October 19, 1998, October 19, 1998, October 30, 1998 and September 24, 1998

2. Name and address of receiving party(ies):

Name: Northern Telecom Limited

Internal Address: _____

Street Address: World Trade Center of Montreal380 St. Antoine Street West, 8th Floor, Montreal, Quebec H2Y 3Y4

Canada

Additional name(s) & address(es) attached? _ Yes X No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/185,932

B. Patent No.(s)

Additional numbers attached: _ Yes X No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William M. Lee, Jr.Internal Address: Lee, Mann, Smith, McWilliams, Sweeney & OhlsonStreet Address: P.O. Box 2786City: Chicago State: Illinois Zip: 60690-2786

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 3.41) \$ 40.00

X Enclosed

_ Authorized to be charged to deposit account

8. Deposit account number:

12-0913

(Attach duplicate copy of this form if paying by deposit account.)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William M. Lee, Jr.

Name of Person Signing

Signature

December 9, 1998

Date

Total number of pages including cover sheet, attachments and document 3

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PATENT
REEL: 9622 FRAME: 0479

ASSIGNMENT NORTHERN TELECOM LIMITED

For value received, I (we) the undersigned, whose full post office addresses is (are) adjacent my (our) name(s), hereby sell, assign and transfer to

the address of whose registered office is: **NORTHERN TELECOM LIMITED**
World Trade Center of Montreal,
380 St. Antoine Street West, 8th floor,
Montreal, Quebec
Canada H2Y 3Y4

(hereinafter called "Nortel"), its successors, assigns and legal representatives, the entire right, title and interest for all countries, including any and all priority rights, in and to certain inventions relating to

OPTICAL AMPLIFIER GAIN CONTROL

identified in Nortel's records as ID0801 Roberts et al 34 and set forth in an application for letters patent of the United States of America Serial Number 09/185,932 filed on 11/4/98,

and all rights and privileges under any and all letters patent in all countries that may be granted for said inventions, to the intent that any such patent or other intellectual property protection shall be issued to Nortel alone or to its successors, assigns or legal representatives.

I (We) undertake without charge to Nortel but at its request and expense to execute all documents, take all oaths and do all reasonable acts to enable Nortel, its successors, assigns and legal representatives to procure and maintain patent or other intellectual property protection for said inventions in any and all countries and to vest title thereto in Nortel, its successors, assigns or legal representatives.

I (We) agree that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent or the like which may be required in any country for any purpose and more particularly in proof of the irrevocable right of Nortel, its successors, assigns and legal representatives to apply for and maintain patent or other intellectual property protection for said inventions in any and all countries and to claim the benefit of any right of priority.

This Assignment does not form part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds £60,000.

Nancy Donahue
Witness Signature

Kim Byron Roberts
Kim Byron Roberts

Date: Oct 19/98
Assignor

10 Mission Inn Grove
Nepean
Ontario K2R 1C6
Canada

NANCY DONAHUE
Witness Name (print)

☐ Additional Assignors on attached Page 2

Loren Berg
Witness Signature

[Signature]

Date: Oct 19, 1998
Assignor

Franz Li Hsien Mok
7 Windcrest Court
Kanata, Ontario
Canada K2T 1B4

Loren Berg
Witness Name (print)

Phi Nensy
Witness Signature

[Signature]

Date: Oct 30, 98
Assignor

Achille
Richard Achille Habel
561 Mansfield Avenue
Ottawa, Ontario
Canada K2A 2T1

Philippe Nensy
Witness Name (print)

[Signature]
Witness Signature

N.E. Jolley

Date: 24/9/98
Assignor

S. F. LAURENCE.

Nigel Edward Jolley
100 Honeybourne
Bishop's Stortford,
Herts CM23 4EF
United Kingdom