

12-11-1998

FORM PTO - 1595

RECORDED

DEPT. OF COMMERCE  
and Trademark Office

PATENTS &amp; TRADEMARKS

100918993

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original document.

## 1. Name of conveying party(ies):

John Brahms  
Dale Scherl  
Susan Herles  
Stuart Shapiro  
Abdul Gaffar

## 2. Name and address of receiving party (ies):

Name: Colgate-Palmolive Company  
Internal:  
Address:

Street: 300 Park Avenue

City: New York State: New York Zip 10022

Additional name(s) address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 11/12/98, 11/18/98 and 11/20/98

## 4. Application number (s) or patent number (s): IR 6053

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: COLGATE-PALMOLIVE COMPANY

Internal Address: PATENT DEPARTMENT

Street Address: 909 RIVER ROAD  
P.O. BOX 1343

City: PISCATAWAY State: NJ Zip: 08855-1343

## 6. Total Number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account 03-2455

8. Deposit account number: 03-2455

(If any additional fee is required, please charge to our Deposit Account No. 03-2455)

DO NOT USE THIS SPACE

## 9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Henry S. Goldfine

Name of Person Signing & Reg. No. 38,468  
Attorney Docket No.: IR 6053

Signature

Date

Total number of pages including cover sheet: ( 1 )

## CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that the paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231.

Date: 12/11/98

Tracy Cavallaro

(Type or print name of person mailing paper)

(Signature of person mailing paper)

12/11/1998 SBURKS 00000114 032455 GOLDFINE

11 PD-551 40.00 CH

PATENT  
REEL: 009623 FRAME: 0394  
REEL: 9623 FRAME: 0394

**ASSIGNMENT**

**WHEREAS, WE, John Brahms, Dale Schert, Susan Herles, Stuart Shapiro and Abdul Gaffar, (below referred to as ASSIGNORS), residing, respectively, as set forth hereinafter, have made an invention for which application for Letters Patent of the United States was filed in the United States Patent and Trademark Office as Application Serial No. 09/057,249 filed April 8, 1998, naming the ASSIGNORS as inventors, and entitled ANTI-INFLAMMATORY AND ANTIBACTERIAL BENZYL PHENOL AGENTS AND THEIR USE IN ORAL COMPOSITIONS; and**

**WHEREAS, Colgate-Palmolive Company, a corporation duly organized and existing under the laws of the State of Delaware, United States of America and having its principal office and place of business at 300 Park Avenue, New York, New York 10022, and offices and place of business at 909 River Road, Piscataway, New Jersey 08855 (below referred to as "ASSIGNEE"), is desirous of obtaining the entire right, title and interest in and to the aforesaid invention and patent application and corresponding patent rights worldwide;**

**NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS, by these presents do sell, assign and transfer to ASSIGNEE, the full and exclusive right, title and interest in and to the aforesaid invention, the aforesaid U.S. and all corresponding foreign patent applications, all divisional, continuation, continuation-in-part, reissue and reexamination applications of the aforesaid U.S. application, and all Letters Patent or comparable rights issuing thereon in the United States and in all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNORS hereby authorize and request the U.S. Commissioner of Patents and Trademarks and all foreign Patent Offices to issue all Letters Patent or comparable rights issuing on any application as aforesaid to ASSIGNEE, or to its successors, assigns or legal representatives;**

**ASSIGNORS hereby covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;**

**ASSIGNORS agree to communicate to ASSIGNEE or to its successors, assigns or legal representatives any and all facts known to them or any of them respecting said invention, and without further remuneration to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and reexamination applications, make all rightful oaths and generally do all lawful acts to aid ASSIGNEE or its successors, assigns or legal representatives to obtain and enforce proper patent protection for said invention in all countries and to enhance or perfect ASSIGNEE's title in and to the invention and patent rights therein.**

**ASSIGNORS hereby authorize attorneys for ASSIGNEE to enter on this document any applicable serial numbers and filing dates after ASSIGNORS' execution of this document.**

Signed this 20 day of NOVEMBER, 1998.

John Brahms

Address: 141 Abbot Court, Piscataway, NJ 08854

State of New Jersey  
County of Middlesex

On this 20 day of NOVEMBER, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

CAROL M. STANLEY  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES NOV. 18, 2000

Carol M. Stanley  
Notary Public

Signed this 18 day of NOV, 1998.

Dale Schert

Address: 215 Kinsberry Drive, Somerset, NJ 08873

State of New Jersey  
County of Middlesex

On this 18 day of NOVEMBER, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

CAROL M. STANLEY  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES NOV. 18, 2000

Carol M. Stanley  
Notary Public

Signed this 20 day of November, 1998.Susan Herles  
Susan Herles

Address: 139 Old Clinton Road, Flemington, NJ 08822

State of New Jersey  
County of Middlesex

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CAROL M. STANLEY  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES NOV. 18, 2000Carol M. Stanley  
Notary Public

Signed this \_\_\_\_ day of \_\_\_\_\_, 1998.

Stuart Shapiro

Address: Baechlerstrasse 45, CH-8802 Kilchberg (ZH)

Switzerland

State of \_\_\_\_\_  
County of \_\_\_\_\_

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\_\_\_\_\_  
Notary PublicSigned this 20 day of Nov, 1998.Abdul Gaffar  
Abdul Gaffar

Address: 89 Carter Road, Princeton, NJ 08902

State of New Jersey  
County of Middlesex

On this 20 day of NOVEMBER 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

Carol M. Stanley  
Notary PublicCAROL M. STANLEY  
NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES NOV. 18, 2000

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**WHEREAS**, Colgate-Palmolive Company, a corporation duly organized and existing under the laws of the State of Delaware, United States of America and having its principal office and place of business at 300 Park Avenue, New York, New York 10022, and offices and place of business at 909 River Road, Piscataway, New Jersey 08855 (below referred to as "ASSIGNEE"), is desirous of obtaining the entire right, title and interest in and to the aforesaid invention and patent application and corresponding patent rights worldwide;

**NOW, THEREFORE**, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS, by these presents do sell, assign and transfer to ASSIGNEE, the full and exclusive right, title and interest in and to the aforesaid invention, the aforesaid U.S. and all corresponding foreign patent applications, all divisional, continuation, continuation-in-part, reissue and reexamination applications of the aforesaid U.S. application, and all Letters Patent or comparable rights issuing thereon in the United States and in all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNORS hereby authorize and request the U.S. Commissioner of Patents and Trademarks and all foreign Patent Offices to issue all Letters Patent or comparable rights issuing on any application as aforesaid to ASSIGNEE, or to its successors, assigns or legal representatives;

**ASSIGNORS** hereby covenant that ASSIGNORS have full right to convey the entire interest herein assigned, and that ASSIGNORS have not executed, and will not execute, any agreement in conflict herewith;

**ASSIGNORS** agree to communicate to ASSIGNEE or to its successors, assigns or legal representatives any and all facts known to them or any of them respecting said invention, and without further remuneration to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and reexamination applications, make all rightful oaths and generally do all lawful acts to aid ASSIGNEE or its successors, assigns or legal representatives to obtain and enforce proper patent protection for said invention in all countries and to enhance or perfect ASSIGNEE's title in and to the invention and patent rights therein.

ASSIGNORS hereby authorize attorneys for ASSIGNEE to enter on this document any applicable serial numbers and filing dates after ASSIGNORS' execution of this document.

Signed this \_\_\_\_ day of \_\_\_\_\_, 1998.

**John Brahms**

**Address: 141 Abbot Court, Piscataway, NJ 08854**

State of New Jersey  
County of Middlesex

On this \_\_\_\_ day of \_\_\_\_\_ 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

\_\_\_\_\_  
Notary Public

Signed this \_\_\_\_ day of \_\_\_\_\_, 1998.

**Dale Scherl**

**Address: 215 Kinsberry Drive, Somerset, NJ 08873**

State of New Jersey  
County of Middlesex

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\_\_\_\_\_  
Notary Public

Signed this \_\_\_\_ day of \_\_\_\_\_, 1998.

Susan Herles

Address: 139 Old Clinton Road, Flemington, NJ 08822

State of New Jersey  
County of Middlesex

On this \_\_\_\_ day of \_\_\_\_\_ 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

\_\_\_\_\_  
Notary PublicSigned this 12<sup>th</sup> day of November 1998.Stuart Shapiro

Address: Baechlerstrasse 45, CH-8802 Kilchberg (ZH)

Switzerland

State of \_\_\_\_\_  
County of \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_ 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

\_\_\_\_\_  
Notary Public

Signed this \_\_\_\_ day of \_\_\_\_\_, 1998.

Abdul Gaffar

Address: 89 Carter Road, Princeton, NJ 08902

State of New Jersey  
County of Middlesex

On this \_\_\_\_ day of \_\_\_\_\_ 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared the above signatory, known to me to be the person of that name, who executed the foregoing instrument in my presence.

\_\_\_\_\_  
Notary Public

Official Certification

Seen for authentication of the foregoing signature, affixed in our presence by

Stuart Shapiro, born 29th day of December 1951, citizen of Tramelan BE,  
residing (according to his information) at Bächlerstrasse 45, 8802 Kilchberg,  
who has identified himself by passport.

Zurich, this 12th day of November 1998

B No. 2241  
Fee: Fr. 20.--

NOTARIAT FLUNTERN-ZÜRICH



*J. Morger*  
J. Morger, Deputy Notary public