

12-11-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Atty Ref/Docket No.: 483.011US1



100919508

To the Honorable Commissioner of Patents and Trademarks

y thereof.

1. Name of conveying party(ies):

Alan P. Kozikowski, Shaomeng Wang and Lixin Qiao

2. Name and address of receiving party(ies):

Name: Georgetown University

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

Street Address: 37th and O Street, NW

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

City: Washington State: DC ZIP: 20057

Additional name(s) & address(es) attached? [] Yes [X] No

Execution Date: October 27, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 09/149,609, filed September 8, 1998

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Harris, Ph.D.

Address: Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our

Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert J. Harris, Ph.D./Reg. No. 37,346

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 4

OMB No. 0651-0011 (exp. 4/94)

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PATENT
REEL: 9625 FRAME: 0361

ASSIGNMENT

WHEREAS, WE, Alan P. Kozikowski, residing at 45 Cameron Ct., Princeton, NJ 08540, and Shaomeng Wang, residing at 7640 Tremayne Place, Unit 309, McLeane, VA 22102, and Lixin Qiao, residing at 4582 MacArthur Blvd., NW, Apt. 104, Washington, DC 20007, made certain new and useful inventions and improvements for which We filed an application for Letters Patent of the United States on September 8, 1998, which application was assigned U.S. patent application serial number 09/149,609, and is entitled SUBSTITUTED 2-PYRROLIDINONE ACTIVATORS OF PKC.


AND WHEREAS, Georgetown University, a corporation organized and existing under and by virtue of the laws of the State of District of Columbia, and having an office and place of business at 37th and O Street, NW, Washington, DC 20057, (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of Oct, 1998.



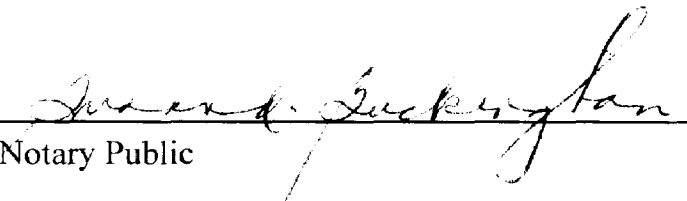
Alan P. Kozikowski

STATE OF Washington, D.C.
COUNTY OF Ward 2

On this 27 day of October, 1998 before me personally appeared Alan P. Kozikowski

to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]



Notary Public

SUSAN D. BUCKINGHAM
Notary Public
District of Columbia
My Commission Expires
September 30, 1999

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of Oct., 1998.

Shaomeng Wang
Shaomeng Wang

STATE OF Washington, D.C.
COUNTY OF ss. Prince Georges

On this 27 day of October, 1998 before me personally appeared Shaomeng Wang to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein

set forth.

Sworn to before me and
subscribed in my presence
this 27 day of Oct., 1998

[SEAL]

Juan D. Puckering
Notary Public

Commission exp 9/30/99

IN TESTIMONY WHEREOF, I have hereunto set my hand this 27 day of Oct., 1998.

Lixin Qiao
Lixin Qiao

STATE OF Washington, D.C.
COUNTY OF ss. Prince Georges

On this 27th day of October, 1998 before me personally appeared Lixin Qiao to me known and known to me to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set

forth.

[SEAL]

Juan D. Puckering
Notary Public

Commission exp. 9/30/99