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To the Honorable Commissioner of Patents and Trademarks

100920574

inal documents or copy thereof.

1. Name of conveying party(ies):
**ESPINDOLA, ROLANDO PATRICIO
WAGENER, JEFFERSON LYNN
WINDELER, ROBERT SCOTT**

2. Name and address of receiving party(ies):

Name: **LUCENT TECHNOLOGIES INC**Internal Address: **P. O. BOX 636**Street Address: **600-700 MOUNTAIN AVENUE**City: **MURRAY HILL** State: **NJ** ZIP: **07974**Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: **NOV. 20, 19, 30, 1998**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

MAD 12.7.98

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/160,779

B. Patent No.(s)

12/11/1998 ZARDALLA 00000047 122325 09160779**01 FC:581****40.00 CH**Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **GLEN E. BOOKS, ESQ.**Internal Address: **MATHEWS, COLLINS, SHEPHERD &
GOULD, P.A.**Street Address: **100 THANET CIRCLE, SUITE 306**City: **PRINCETON** State: **NJ** ZIP: **08540**

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ **40.00 E**☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

12-2325

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***GLEN E. BOOKS, ESQ.**

Name of Person Signing

Signature

DECEMBER 1, 1998

Date

Total number of pages including cover sheet, attachments, and document:

5**PATENT**
REEL: 9626 FRAME: 0590

ASSIGNMENT AND AGREEMENT

For value received, we, ROLANDO PATRICIO ESPINDOLA of ^{Chatham}~~New Providence~~ in the County of ^{Monmouth}~~Union~~, State of New Jersey, JEFFERSON LYNN WAGENER of Hirschbeck Heights in the County of Grays Harbor, State of Washington, and ROBERT SCOTT WINDELER of ^{Clinton}~~Bridgewater~~ in the County of ^{HUNTERDON}~~Somerset~~, State of New Jersey hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P.O. Box 636, Murray Hill, New Jersey 07974-0636 U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **TAPERED FIBER STRUCTURE WITH VARIABLE REFRACTIVE INDEX REGION ADJACENT THE CORE** described in an application for Letters Patent of the United States, which application is being executed by me on the date indicated below, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Rolando Patricio Espindola
ROLANDO PATRICIO ESPINDOLA

Date: 11/20/98

United States of America)

State of New Jersey) ss.:

County of Union)

On this 20 day of November, 1998, before me personally came ROLANDO PATRICIO ESPINDOLA, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANNABELLE L. SHORT
NOTARY PUBLIC OF NEW JERSEY USA
My Commission Expires Jan. 20, 2000


JEFFERSON LYNN WAGENER

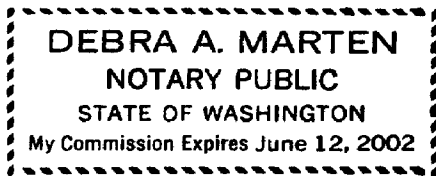
Date: 11-19-98


United States of America)

State of Washington) ss.:

County of Grays Harbor

On this 19 day of November, 19 98, before me personally came JEFFERSON LYNN WAGENER to me ~~known~~^{proven} to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.




Notary Public
My Commission Expires:
June 12, 2002

Espindola ²²⁻²⁰⁻⁷
~~13-18-6~~

Robert Scott Windeler
ROBERT SCOTT WINDELER

Date: 11/30/98

United States of America)
State of New Jersey) ss.:
County of Union)

On this 30th day of November, 1998, before me personally came ROBERT SCOTT WINDELER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sheila R. Cole
Notary Public

SHEILA R. COLE
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 10/10/2000

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