

12-15-1998

FORM PTO-1595

REC



T

U.S. Department of Commerce

Patent and Trademark Office

(Rev. 06/93)

100920100

OMB No. 0651-0011 (Exp. 04/94)

12/1/98

Attorney Docket No.: NUAN-00500

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir

Please record the attached original documents or copy thereof.

JC511 U.S. PTO
 09/203155
 12/01/98

1. Name of conveying party(ies):

Michael H. Cohen
 Tracy Demian Wax

— Additional name(s) of conveying party(ies) attached.

2. Name and address of receiving party(ies):

NUANCE COMMUNICATIONS
 1380 Willow Road
 Menlo Park, CA 94025

— Additional name(s) and address(es) attached.

3. Nature of conveyance:

☒ Assignment — Merger
☐ Security Agreement — Change of Name
☐ Other: _____

Execution Date: December 1, 1998

4. Application number(s) or patent number(s):

09 203155

☒ This document is being filed together with a new application, the execution date of the application is December 1, 1998
☐ Patent Application Serial No.(s): filed on _____
☐ Patent No.(s): _____
☐ Additional numbers attached.

5. Name and address of party to whom correspondence concerning document should be mailed:

Thomas B. Haverstock
 HAVERSTOCK & OWENS LLP
 260 Sheridan Avenue, Suite 420
 Palo Alto, California 94306

6. Total number of applications and patents involved: 1

7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:

☒ Check(s) in the amount of \$51,122.00 (\$1,802.00 Basic Filing Fee plus \$40.00 Assignment Recordation Fee) enclosed.

8. Authorization to Charge Additional Fees:

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.

12/09/1998 09:01:00 00000040 09203155

04 FC:581 40.00 09

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: December 1, 1998

Signature: Thomas B. Haverstock
 Name: Thomas B. Haverstock
 Reg. No.: 32,571

Total number of pages including cover sheet, attachments, and document: 2

PATENT
 REEL: 9634 FRAME: 0897

ASSIGNMENT

WHEREAS, WE, Michael H. Cohen and Tracy Demian Wax, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention SYSTEM FOR AND METHOD OF CREATING AND BROWSING A VOICE WEB

Date of execution of application: _____ Filing Date: _____ Serial No.: _____

WHEREAS, NUANCE COMMUNICATIONS, a corporation of the State of California, 1380 Willow Road, Menlo Park, CA 94025, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have hereunto signed their names to this assignment on the dates indicated below.

Michael H. Cohen
Michael H. Cohen

12/1/98
Date

Tracy D. Wax
Tracy Demian Wax

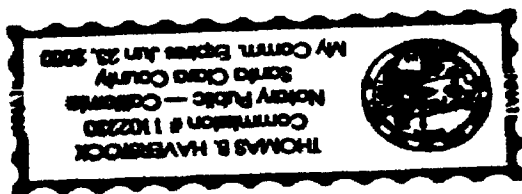
12/1/98
Date

STATE OF California

COUNTY OF Santa Clara

On December 1, 1998 before me, Thomas B. Haverstock
personally appeared Michael H. Cohen and Tracy Demian Wax

☒ personally known to me - OR - ☐ proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Thomas B. Haverstock
Signature of Notary Public

SEALED