

ML 12-4-98

12-15-1998



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of

100921542

are attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael M. Kaplan Timothy Ober Peter Reed  
4 Ocean Drive 9 Birch Lane 1 Bancroft Ave.  
Rockport, MA 01966 Atkinson, NH 03811 Beverly, MA 01915

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 11/23/98

2. Name and address of receiving party(ies):

Name: Information Resource Engineering, Inc

Internal Address: \_\_\_\_\_

Street Address: 8029 Corporate Drive

City: Baltimore State: MD ZIP: 21236

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/154,357

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gerald T. Bodner, Esq.

Internal Address: Hoffmann & Baron, LLP

Street Address: 350 Jericho Turnpike

City: Jericho State: NY ZIP: 11753

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald T. Bodner

Name of Person Signing

Gerald T. Bodner

Signature

Nov. 30 1998

Date

Our Docket No.: 621-37

Total number of pages comprising cover sheet:

4

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

1)	Michael M. KAPLAN	2)	Timothy OBER	3)	Peter REED
	4 Ocean Drive		9 Birch Lane		1 Bancroft Avenue
	Rockport, MA 01966		Atkinson, NH 03811		Beverly, MA 01915
	<u>U.S.</u>		<u>U.S.</u>		<u>U.S.</u>
	Nationality		Nationality		Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

Information Resource Engineering, Inc.  
(Type or print name of ASSIGNEE)

8029 Corporate Drive  
Address

Baltimore, Maryland 21236  
U.S.A.  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

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**KERNEL MODE PROTECTION**

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and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
          ☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
          ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 09/ 154,357 filed on September 16, 1998  
(e) ☐ International application No. PCT/\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
          ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and

deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

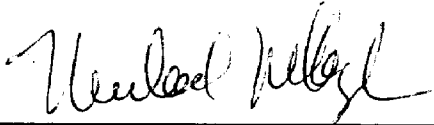
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

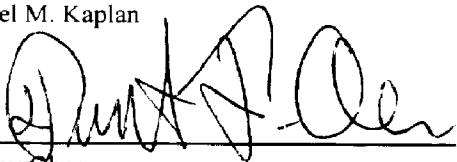
**WARNING:** Date of signing must be the **same** as the date of execution of the application if item (a) was checked above.

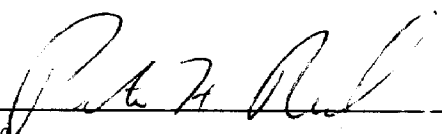
Date: ☒ 11/23/98  
Date of signing

Date: ☒ 11/23/98  
Date of signing

Date: ☒ 11/23/98  
Date of signing

☒   
Michael M. Kaplan

☒   
Timothy Ober

☒   
Peter Reed

(If ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

[ ] Notarization or Legalization Page Added.