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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard M. Weber

MRD
12-10-98

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: December 8, 1988

2. Name and address of receiving party(ies)

Name: Second Opinion Financial Systems, Inc.

Internal Address: 1601 Market Street

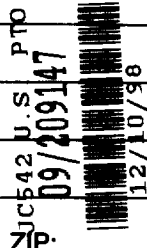
Suite 2903

Philadelphia, PA 19103

Street Address:

City: State: ZIP:

Additional name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09 209147

B. Patent No.(s)

12/10/1998 SHERES 00000000 030075 09209147

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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

CAESAR, RIVISE, BERNSTEIN,
Internal Address: COHEN & POKOTILOV, LTD.
12th FLOOR • SEVEN PENN CENTER
1635 MARKET STREET
PHILADELPHIA, PA. 19103-2212

Street Address:

City: State: ZIP:

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

40E

8. Deposit account number:

03-0075

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Scott M. Slomowitz

Name of Person Signing

Signature

December 10, 1998

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 9636 FRAME: 0528

ASSIGNMENT OF INVENTION

WHEREAS, I, RICHARD M. WEBER, residing at 1008 Lake Ridge Drive, Safety Harbor, Florida 34695, along with JAMES G. POWERS, am a joint inventor of, and have made a certain new and useful invention in APPARATUS AND METHOD FOR EXPOSING, EVALUATING AND RE-BALANCING RISK FOR DECISION-MAKING IN FINANCIAL PLANNING, and am about to apply for Letters Patent of the United States based thereon, which application is a Continuation-In-Part of Co-Pending Application Serial No. 08/778,073, filed on January 7, 1997 entitled DYNAMIC POLICY ILLUSTRATION SYSTEM;

WHEREAS, I, RICHARD M. WEBER, am President and CEO of SECOND OPINION FINANCIAL SYSTEMS, INC.; and,

WHEREAS, SECOND OPINION FINANCIAL SYSTEMS, INC., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having an office and place of business at 1601 Market Street, Suite 2903, Philadelphia, PA 19103, is desirous of acquiring the entire right, title and interest in and to the invention as disclosed in the Continuation-in-Part of Co-Pending Application Serial No. 08/778,073, in and throughout the United States, its territories and all countries foreign thereto, and in and to said application for Letters Patent and in and to any and all Letters Patent of the United States and all countries foreign thereto which have been granted or may be granted on said invention or any part thereof.

NOW, THEREFORE, IN CONSIDERATION of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, RICHARD M. WEBER, by these presents do sell, assign and transfer unto the said SECOND OPINION FINANCIAL SYSTEMS, INC., my entire right, title and interest in and throughout the United States, its territories and all countries foreign thereto in and to said invention as disclosed in the Continuation-in-Part of Co-Pending Application Serial No. 08/778,073, in and to said application for Letters Patent and any and all Letters Patent and extensions thereof of the United States and countries foreign thereto which have been or may be granted on said invention or any part thereof, or any divisional, continuing, renewal, reissue, or other application and all international priority rights associated therewith, based in whole or in part thereon, or based upon said invention;

TO BE HELD AND ENJOYED by the said SECOND OPINION FINANCIAL SYSTEMS, INC. and its legal representatives and assigns to the full ends of the terms for which said Letters Patent, or any of them, have been granted or may be granted as fully and entirely as the same would have been held and enjoyed by me had no sale and assignment of said interest been made; and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may be granted upon the said invention above referred to, or any of them, or upon said invention or any part thereof, to said SECOND OPINION FINANCIAL SYSTEMS, INC., and I hereby agree for myself, my heirs, executors and administrators, to execute without further consideration, any further legal documents and any further assignments and any releases, reissues, renewals or other applications for Letters Patent that may be deemed

necessary by the Assignee herein named, fully to secure to the said Assignee its interest as aforesaid in and to said invention or any part thereof, and in and to said several Letters Patent, or any of them.

I do hereby covenant for myself and my legal representatives, and agree with SECOND OPINION FINANCIAL SYSTEMS, INC., and its legal representatives, that I have granted no license to make or sell the said invention, that prior to the execution of this deed my right, title and interest in the said invention have not been encumbered, that I then had good right and title in and to the invention and that I have not executed and will not execute any instrument in conflict therewith.

IN WITNESS WHEREOF, I have hereunto affixed my hand and seal this

8TH day of DECEMBER, 1998.

Richard M Weber

STATE OF California
COUNTY OF San Diego

:
: SS :
:

Before me personally appeared said RICHARD M. WEBER and
acknowledged the foregoing instrument to be his free act and deed, this 8th day of
December, 1998.



Rosa Serrato (SEAL)
Notary Public

My Commission Expires: Sept. 10, 1999