

JULY 28, 1992

12-16-1998

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NOTE: The following appendix did r

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**APPENDIX B**

FORM PTO-1595

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12/4/98

**RECORDATION FORM COVER SHEET  
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09/206016  
12/04/98

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wen Yaung Lee  
Tsann Lin  
Daniele Mauri  
David John Seagle

2. Name and address of receiving party(ies):

Name: *INTERNATIONAL BUSINESS  
MACHINES CORPORATION*  
Internal Address: Armonk, New York 10504

Street Address: SAME AS ABOVE

Additional name(s) & address(es) attached?  
\_\_\_ yes X no

Additional name(s) of conveying party(ies) attached? \_\_\_ yes X no

3. Nature of Conveyance

X Assignment \_\_\_ Merger  
\_\_\_ Security Agreement \_\_\_ Change of Name  
\_\_\_ Other

Execution Date: 11/24/98; 11/23/98; 11/23/98 and 11/25/98

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/24/98; 11/23/98; 11/23/98 and 11/25/98

A. Patent Application No.(s)

B. Patent No.(s)

09/206016

Additional numbers attached? \_\_\_ Yes X No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: Terrance A. Meador  
Address: GRAY CARY WARE & FREIDENRICH  
401 "B" Street, Suite 1700  
San Diego, California 92101

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$ 80.00  
\_\_\_ Enclosed  
X Authorized to be charged to deposit account

8. Deposit Account Number: 09-0442

12/11/1998 JSMBDAZZ 00000140 090442 09206016

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

TERRANCE A. MEADOR  
Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments and document: 3

OMB NO. 0651-0011 (EXP. 4/94)

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SA998032 (103779-153534)

**PATENT  
REEL: 9636 FRAME: 0674**

**ASSIGNMENT**

Whereas, We

INVENTORS  
AND CITY

(1) **Wen Yaung Lee**  
City of **San Jose**,  
County of **Santa Clara**  
State of **California**,

(2) **Tsann Lin**  
City of **Saratoga**,  
County of **Santa Clara**  
State of **California**

(3) **Daniele Mauri**  
City of **San Jose**,  
County of **Santa Clara**  
State of **California**,

(4) **David John Seagle**  
City of **Morgan Hill**,  
County of **Santa Clara**  
State of **California**

have invented certain improvements in

TITLE

**READ HEAD WITH HIGH RESISTANCE SOFT MAGNETIC FLUX GUIDE LAYER FOR  
ENHANCING READ SENSOR EFFICIENCY**

DATES THAT  
INVENTORS  
SIGNED THE  
DECLARATION

and executed a Declaration and Power of Attorney for a United States patent application therefor on

(1) 11-24, 1998, (2) 11/23, 1998, (3) 11/23, 1998,  
(4) \_\_\_\_\_, 1998 *TL*

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

CITY  
DATE

(1) at  
on San Jose, 1998,  
11-24

Wen Yaung Lee SIGNATURE  
Wen Yaung Lee INVENTOR

CITY  
DATE

(2) at  
on San Jose, 1998,

Tsann Lin SIGNATURE  
Tsann Lin INVENTOR

CITY  
DATE

(3) at  
on San Jose, 1998, 11/23

Daniele Mauri SIGNATURE  
Daniele Mauri INVENTOR

CITY  
DATE

(4) at  
on \_\_\_\_\_, 1998,

\_\_\_\_\_  
David John Seagle SIGNATURE  
David John Seagle INVENTOR

**ASSIGNMENT**

Whereas, We

INVENTORS  
AND CITY

(1) Wen Yaung Lee  
City of San Jose,  
County of Santa Clara  
State of California,

(2) Tsann Lin  
City of Saratoga,  
County of Santa Clara  
State of California

(3) Daniele Mauri  
City of San Jose,  
County of Santa Clara  
State of California,

(4) David John Seagle  
City of Santa Barbara  
County of Santa Barbara  
State of California

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(4) 11/25/98, 1998

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Signed

CITY  
DATE

(1) at \_\_\_\_\_  
on \_\_\_\_\_, 1998,

\_\_\_\_\_  
Wen Yaung Lee SIGNATURE  
INVENTOR

CITY  
DATE

(2) at \_\_\_\_\_  
on \_\_\_\_\_, 1998,

\_\_\_\_\_  
Tsann Lin SIGNATURE  
INVENTOR

CITY  
DATE

(3) at \_\_\_\_\_  
on \_\_\_\_\_, 1998,

\_\_\_\_\_  
Daniele Mauri SIGNATURE  
INVENTOR

CITY  
DATE

(4) at Goleta California  
on 11/25, 1998,

  
David John Seagle SIGNATURE  
INVENTOR