

12-18-1998

RECORDATION FOR PATENTS

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To the Honorable Commission,  
attached original documents or copy thereof:

record the

MAILED 12-12-98

1. Name of conveying party(ies):  
**Achim Ansmann, Rolf Kawa, Helga Gondek, Josef Koester and Annette Kreisig**

2. Name and address of receiving party (ies):  
Name: Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA)

Additional names(s) or conveying party(ies) attached? [ ] Yes [X] No

Internal Address: \_\_\_\_\_

3. Nature of Conveyance:  
[X] Assignment [ ] Merger  
[ ] Security [ ] Change of Name Agreement  
[ ] Other

Street Address: TFP/Patentabteilung

City: D-40191 Duesseldorf State GER Zip \_\_\_\_\_

Execution Date: 10/29/98; 10/30/98 and 11/19/98

Additional name(s) & addresses attached? [ ] Yes [X] No

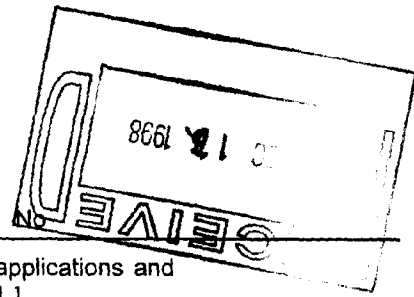
4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No. (s)  
09/029,774

B. Patent No (s).

Additional numbers attached? [ ] Yes [X] No



5. Name and Address of party to whom correspondence concerning document should be mailed:

Name: Henkel Corporation

Internal Address: Law Department

Attention: Real J. Grandmaison

Street Address: 2500 Renaissance Blvd., Suite 200

City Gulph Mills State Pa ZIP 19406

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41).....\$ 40.00  
[ ] Enclosed

[X] Authorized to be charged to deposit account

8. Deposit account number:  
01-1250 (Order No. 98-1301)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Real J. Grandmaison (Reg. No. 25,981)

December 8, 1998

Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments and document: [ 3 ]

OMB No. 0651-0100 (EXP. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
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Washington, D.C. 20231

12/16/1998 SR/RMS 00000112 011250 09029774

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PATENT  
REEL: 9640 FRAME: 0519

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS, we, Achim Ansmann, Rolf Kawa, Helga Gondek, Josef Koester and Annette Kreisig citizens of Germany and residents of Kirchberg 25, 40699 Erkrath, Germany; Fontanestrasse 28, 40789 Monheim, Germany; Hinter den Hoefen 19, 40589 Duesseldorf, Germany; Faehrstrasse 226, 40221 Duesseldorf, Germany and Muehlenkamp 17, 40229 Duesseldorf, Germany; respectively, have invented certain new and useful improvement in O/W EMULSIFIERS for which we have made application for United States Letters Patent, said application having been filed as PCT international application number PCT/EP96/03837 on September 2, 1996 designating the United States of America; and


WHEREAS, Henkel Kommanditgesellschaft auf Aktien (Henkel KGaA) a corporation duly organized and existing under the laws of Germany and having a place of business at TFP/Patentabteilung, D-40191 Duesseldorf, Germany is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent which may issue thereon;

NOW, THEREFORE, to all whom it may concern, be it known that we, the said Achim Ansmann, Rolf Kawa, Helga Gondek, Josef Koester and Annette Kreisig for and in consideration of the sum of ONE DOLLAR (\$1.00) to us in hand paid by the said Henkel KGaA and for other good and valuable considerations, the receipt of all of which is hereby acknowledged, do hereby sell, assign, transfer and set over unto the said Henkel KGaA its successors and assigns, the entire right, title and interest in and to said

invention, said application and any and all Letters Patent that may issue thereon in the United States together with any division, divisions, extension or extensions, reissue or reissues thereof;


AND, we hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent which may issue upon said invention to said Henkel KGaA as assignee of the entire right, title and interest in and to said invention, said application and any and all Letters Patent that may issue thereupon.

IN WITNESS WHEREOF, we have hereunto set our hand as of the following date(s).

  
\_\_\_\_\_


Achim Ansmann

Date Nov. 19, 1998

  
\_\_\_\_\_

Rolf Kawa

Date 30.10.98

  
\_\_\_\_\_

Helga Sondel

Date 30.10.98

  
\_\_\_\_\_

Josef Koester

Date Nov. 19, 1998

  
\_\_\_\_\_

Annette Kreisig

Date 29.10.98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Ansmann et al.

Serial No. 09 / 029, 774  
Filed: 3/11/98  
Title: O /W EMULSIFIERS

Examiner: Unknown  
Art Unit: Unknown

COPY

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on 12-8-98.

12-8-98  
Date

Mary Lynne Lukens  
Signature of certifier

Mary Lynne Lukens

Typed or printed name of certifier

PETITION TO CORRECT DEFECTIVE DECLARATION AND ASSIGNMENT

Assistant Commissioner for Patents  
Box PCT  
Washington, DC 20231

Sir:

Applicants herein received a Notification (copy enclosed) of a Defective Oath or Declaration. The objection to the Declaration resides in the fact that one of the inventors, namely Annette Kreisig, allegedly improperly signed her name. The name of Annette Kreisig is correct since she was married on 20 September 1996 as evidenced by copy of her marriage certificate. Accordingly, it is believed that the declaration herein is not defective.

However, it is noted that the assignment is defective in that the name Annette Schwarz was improperly employed.

Therefore, to correct said noted discrepancies, Applicants are submitting herewith a New Assignment and a sworn declaration in support thereof.

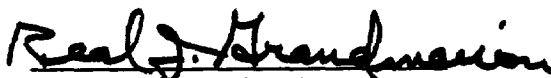
In addition, enclosed is a petition under 37 CFR 1.183 to change an inventor's name on the application. The Petition fee is set forth in § 1.17 (h). Authorization to change deposit account 01-1250 \$130.00 for the petition fee was submitted on October 27, 1998 per Order No. 98-1102.

The Assistant Commissioner is hereby authorized to charge any deficiency in the required fee or to credit any overpayment to Deposit Account 01-1250.

Attached with this petition is a copy of the marriage certificate and a translation of the marriage certificate showing the name change of Annette Schwarz to Annette Kreisig.

Applicant's request a filing date be provided based upon the original submission of the Application documents.

Respectfully submitted,



Real J. Grandmaison  
(Reg. No. 25,981)  
Attorney For Applicant(s)  
(610) 278-4935

Henkel Corporation  
Patent Department  
2500 Renaissance Boulevard, Suite 200  
Gulph Mills, PA 19406  
RJG/cah  
G:\DATA\H2028.AMD

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of  
Ansmann et al.

Serial No. 09/029,774      Examiner:  
Filed: 3/11/98              Art Unit:  
TITLE: O/W EMULSIFIERS

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on 12-8-98.

12-8-98  
Date

Mary Lynne Lukens  
Signature of certifier

Mary Lynne Lukens  
Typed or printed name of certifier

**DECLARATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Annette Kreisig declares as follows:

I am a coinventor of the subject matter of the above-identified patent application. I changed my name from Annette Schwarz, my maiden name, to Annette Kreisig, my married name on September ~~30~~<sup>29</sup>, 1996, the date of my marriage.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may

Serial No. 09/029,774

Art Unit:

jeopardize the validity of the application or any patent issuing thereon.

Annette Schwarz  
Annette Schwarz

Annette Kreisig  
Annette Kreisig

Date: 29.10.98