

12-21-1998

W 4

FILE NO. A3180

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

RECORDATION
DATE



100925329

copy thereof.

To the Honorable Commissioner of Patents and Trademarks: Please

1. Name of conveying party(ies): Thomas J. Karol

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

11/62 12-11-98

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

2. Name and address of receiving party(ies)

Name: R.T. Vanderbilt Company, Inc.

Address: 30 Winfield Street

City: Norwalk State: CT Zip: 06856

Additional name(s) and address(es) attached?

☐ Yes

☒ No

Execution Date: November 3, 1998

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/151.362

B. Patent No.(s)

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Marta E. Delsignore, Ph.D.

BAKER & BOTTS, L.L.P.

Address: 30 Rockefeller Plaza - 44 Fl.

City: New York State: NY Zip: 10112

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41):

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4377

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Marta E. Delsignore, Ph.D.

Name of Person Signing

Marta E. Delsignore

Signature

December 9, 1998

Date

Total number of pages including cover sheet, attachments and document:

3

A S S I G N M E N T

WHEREAS, I, Thomas J. Karol, a citizen of the United States, whose post office address is 33 Harborview Avenue, Norwalk, Connecticut 06854, have filed U.S. Application Serial No. 09/151,362 for Letters Patent on September 10, 1998 entitled "AMINE-FUNCTIONALIZED POLYMERS" and

WHEREAS, R.T. Vanderbilt Co., Inc., a corporation organized and existing under the laws of the State of Connecticut, and having an office for the transaction of business at 30 Winfield Street, Norwalk, Connecticut 06856, is desirous of acquiring Thomas J. Karol's entire right, title and interest in and to said improvements to U.S. Application Serial No. 09/151,362 therefor, and any Letters Patent which may be obtained therefor;

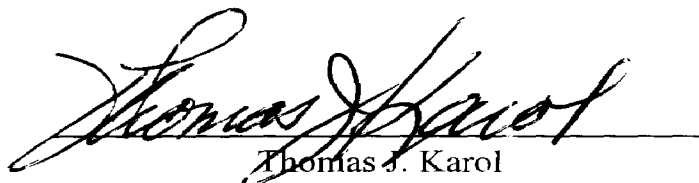
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said R.T. Vanderbilt Co., Inc. and other valuable considerations unto me moving from said R.T. Vanderbilt Co., Inc. at or before the en-sealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said R.T. Vanderbilt Co. Inc., its successors and assigns, my entire right, title and interest in and to U.S. Application Serial No. 09/151,362, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND I, the said Thomas J. Karol do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said R.T. Vanderbilt Co., Inc. as the assignee of my entire right, title and interest in and to the same, for the sole use and benefit of said R.T. Vanderbilt Co., Inc. its successors and assigns;

AND I, the said Thomas J. Karol for the considerations aforesaid, do hereby covenant and agree to and with said R.T. Vanderbilt Co., Inc. its successors and assigns, that I have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that I, my executor or administrator, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents

which, in the opinion of counsel for said R.T. Vanderbilt Co., Inc., its successors and assigns, may be required or necessary more effectively to secure to and vest in said R.T. Vanderbilt Co., Inc., its successors and assigns, my entire right, title and interest in and to said U.S. Application Serial No. 09/151,362 and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I, the said Thomas J. Karol have hereunto set my hand and seal on the date below written.

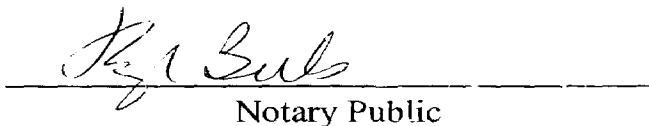

Thomas J. Karol

STATE OF CONNECTICUT)

: ss.:

COUNTY OF FAIRFIELD)

BE IT KNOWN, that on this 3rd day of November, 1998, before me personally came Thomas J. Karol to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.


Notary Public

ILZE BULS
NOTARY PUBLIC
MY COMMISSION EXPIRES:
OCT 31, 2002