

12-22-1998



100926750

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

MRD 12-10-98

JC542 U.S. PTO  
09/209197

12/10/98  
\*\*\*\*\*

1. Name of conveying party(ies):

HAL HJALMAR OTTESEN  
GORDON JAMES SMITH

Additional names of conveying party(ies) attached?

☐ yes ☒ no

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Nature of Conveyance

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

Execution Date: December 4, 1998; December 8, 1998

4. Application number(s) or patent number(s):

TITLE: **RIGID DISK SURFACE DEFECT DETECTION AND CLASSIFICATION**

If this document is being filed together with a new application, the execution date of the application is December 10, 1998

A. Patent Application No(s).

B. Patent No(s).

Docket RO998-129

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Roy W. Truelson  
IBM Corporation - Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901

6. Total number of applications and patents involved: 1

7. Total fee (37 C.V.F. 3.41): \$ 40.00

☐ Enclosed

- ☒ Authorized to be charged to deposit account  
☒ Total fee due  
☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Roy W. Truelson, Reg. No. 34,265  
Name of Person Signing

Signature

12/10/98  
Date

Total number of pages comprising cover sheet 1

JWTKING 00000095 090465 40.00 CH  
12/16/1998 01 FE:501

## A S S I G N M E N T

Whereas, we

(1)           **Hal Hjalmar Ottesen,**                           City of **Rochester,**  
                  County of **Olmsted**                           and State of **Minnesota,**

and (2)       **Gordon James Smith,**                           City of **Rochester,**  
                  County of **Olmsted,**                           and State of **Minnesota,**

have invented certain improvements in

### **RIGID DISK SURFACE DEFECT DETECTION AND CLASSIFICATION**

and have executed, respectively, a United States patent application therefor on


Dates  
Inventors       (1) December 8                           , 1998, and (2) December 4                           , 1998.  
Signed  
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;


Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

**Signed**

Inventor  
(1) at Rochester, MN  
on 12 - 8, 1998.

  
(Hal H. Ottesen)  
First Name Initial Last Name  
Inventor  
Signature

Inventor  
(2) at Rochester, MN  
on Dec. 4, 1998,

  
(Gordon J. Smith)  
First Name Initial Last Name  
Inventor  
Signature