

11-30-1998

FORM PTO-1595
1-31-92

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



SHEET

11/18/98

Docket No. 236/171

100910902

To the Honorable Commissioner of Patents and Trademarks and to the Patent and Trademark Office, for filing of the enclosed documents or copy thereof.

1. Name of conveying party(ies):
WILLIAM N. ALDRICH, MICHAEL V. MOREJOHN,
RICHARD A. HELKOWSKI and IVAN SEPEKA
Additional name(s) of conveying party(ies) attached? Yes
 No

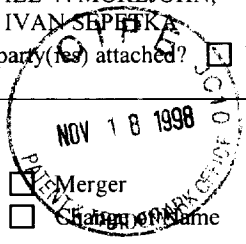
2. Name and address of receiving party:
Name: CARDIOTHORACIC SYSTEMS, INC.

Street Address: 10600 NORTH TANTAU AVENUE

City: CUPERTINO State: CA Zip: 94014-0739

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment
 Security Agreement
 Other
 Merger
 Change of Name



Execution Dates: November 8, 1998 and November 11, 1998

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s). 09/161,079

B. Patent No(s):

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nathaniel L. Dilger

Internal Address: LYON & LYON LLP
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00
 Enclosed

Charge this Deposit Account if any additional fee is required

8. Deposit Account Number: 12-2475

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nathaniel L. Dilger, Reg No. 42,485

Date: 11/18/98

Total number of pages including cover sheet: 7

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A S S I G N M E N T

WHEREAS, we, **WILLIAM N. ALDRICH**, a citizen of the United States residing at 27673 Lupine Road, Los Altos Hills, California 94022, **MICHAEL V. MOREJOHN**, a citizen of the United States residing at 2207 Willester Avenue, San Jose, CA 95124, **RICHARD A. HELKOWSKI**, a citizen of the United States residing at 20380 Stevens Creed Boulevard, #307, Cupertino, California 95014, and **IVAN SEPETKA**, a citizen of the United States residing at 346 Costello Court, Los Altos, California 94024, (hereinafter referred to as "ASSIGNORS"), and has invented and own a certain invention entitled **INSTRUMENTS AND METHODS EMPLOYING THERMAL ENERGY FOR THE REPAIR AND REPLACEMENT OF CARDIAC VALVES** for which application for Letters Patent of the United States of America has been executed on September 25, 1998 as U.S. Patent Application Serial No. 09/161,079; and

WHEREAS, **CARDIOTHORACIC SYSTEMS, INC.**, a corporation organized and existing under and by virtue of the laws of the State of **California** and having its principal place of business at **10600 North Tantau Avenue, Cupertino, California 94014-0739** (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sells, assigns, transfers and sets over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said

application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance have been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at 10600 N. Kantau Ave. Cupertino, CA,
this 11th day of November, 1998.

William N. Aldrich
William N. Aldrich

STATE OF CALIFORNIA)
COUNTY OF Santa Clara)^{SS}

On 11/11/98 before me, Candy R. McKeon, personally appeared
William N. Aldrich

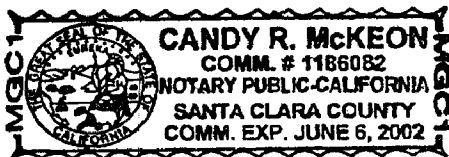
personally known to me

- OR -

proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Candy R. McKeon
Notary Public in and for
said County and State



WITNESS my hand at 10600 N. Tantau Ave. Cupertino, CA,
this 11th day of November, 1998.

Michael V. Morejohn
Michael V. Morejohn

STATE OF CALIFORNIA)
COUNTY OF Santa Clara) SS

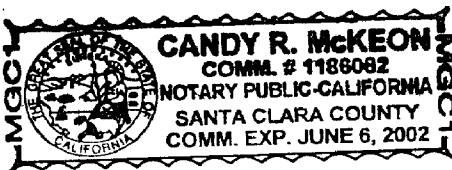
On 11/11/98 before me, Candy R. McKeon personally appeared
Michael V. morejohn

personally known to me

- OR -

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WITNESS my hand and official seal.



Candy R. McKeon
Notary Public in and for
said County and State

WITNESS my hand at 10600 N. Tantau Ave. Cupertino, CA
this 9th day of November, 1998.

Richard A. Helkowski
Richard A. Helkowski

STATE OF CALIFORNIA)
COUNTY OF Santa Clara)^{ss}

On 11/09/98 before me, Candy R. McKeon personally appeared
Richard A. Helkowski

personally known to me

- OR -

proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Candy R. McKeon
Notary Public in and for
said County and State

