HEET

U.S. Department of Commerce

FORM PTO-1595 (Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)	10092772	. O ONE I	Patent and Trademark C
To the Honorable Commis	ssioner of Patents and Trademarks	Please record the attached ori	ginal document or copy thereof.
1. Name of conveying party(ies): Ron C. Hoogeveen and J. Paul Additional name(s) of conveying party(ies) attached 3. Nature of conveyance: Assignment Security Agreement Other Execution Date: October 27, 1998 13-16-98 4. Application number(s) or registration number(s) If this document is being filed together with a residue of the conveyance of	Merger Change of Name	Internal Address: Street Address: O City: Houston Additional name(s) & a	College of Medicine ne Baylor Plaza
A. Patent Application No.(s): 09/079,030		B. Patent No.(s):	
	Additional numbers at	tached? Yes No	•
Name and address of party to whom correspond document should be mailed:	dence concerning	6. Total number of ap	plications and patents involved:1
Name: Nabeela R. McMillian, Pa Arnold White & Durkee P.O. Box 4433 City: Houston State: Texas	·	Enclosed Authorized to lif check insufficient or 8. Deposit account nu	be charged to deposit account inadvertently omitted mber: 01-2508/ARAG:003/Nopy of this page if paying by deposit a
	DO NOT USE TH	IIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the fore Nabeela R. McMillian, Pate Name of Person Signing, Reg. No.	ent Agent	prrect and any attached copy Alaly M Signat	reilei 12/
		Total number of pages incl	uding cover sheet, attachments and docu
Commission Crys	ents to be recorded with re ner of Patents and Trade tal Gateway 4, Room 335	marks, Office of Publ	ic Records, 4/1
198 DHGUYEN 00000300 09079030	CERTIFICATE O	FMAILING	
I hereby certify that this correspondence is be addressed to: Commissioner of Patents and T below:	37 C.F.R eing deposited with the U.S. Po	1.8 ostal Service with sufficient	
December 8, 1998 Date	1 marge	Nabeela R. McMilil	an
	<u> </u>		

A: 186802(404Y01!.DOC)

PATENT REEL: 9645 FRAME: 0701

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Baylor College Of Medicine, (the Assignee"), having a place of business at One Baylor Plaza, Houston, Texas 77030, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/079,030 which has been filed on May 14, 1998 and is entitled "LIPOPROTEINS AS NUCLEIC ACID VECTORS", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

PATENT REEL: 9645 FRAME: 0702

Signature: Date: Name: Juan G. Guevara, Jr. STATE OF TEXAS COUNTY OF BEFORE ME, the undersigned authority, on this day of , 1998, personally appeared Juan G. Guevara, Jr., known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed. Notary or Consular Officer [SEAL] Signature: Name: Ron C. Hoogeveen STATE OF TEXAS COUNTY OF HARRY BEFORE ME, the undersigned authority, on this 27 day of Ohla, 1998, personally appeared Ron C. Hoogeveen, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed. Notary or Consular Officer My Commission Exp. 03-21-2002

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned

and extend to the successors, assigns and nominees of the Assignee.

A: 162575(3HFZ01!.DOC)

Signature: Date: 10-27-98 Name: J. Paul Moore	
STATE OF TEXAS)	
COUNTY OF Haves) ss.	
BEFORE ME, the undersigned authority, on this day of personally appeared J. Paul Moore, known to me to be the person whose name is subject to the person whose name is subject	scribed to
the foregoing instrument and acknowledged to me that he executed the same of his own for the purposes and consideration therein expressed.	ı free will
8 Notary or Consular Officer	<u> </u>

ARNOLD, WHITE & DURKEE

P. O. Box 4433 Houston, Texas 77210 2001 Jefferson Davis Hwy., Ste. 401 Arlington, Virginia 22202

A: 162575(3HFZ01!.DOC)

RECORDED: 12/08/1998

PATENT REEL: 9645 FRAME: 0704