

12-15-1998



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2 SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Y

To the Honorable Commissioner

Please record the attached original document or copy thereof.

1. Names of conveying parties:

- 1) STEVEN G. REED
- 2) MICHAEL J. LODES
- 3) RAYMOND L. HOUGHTON
- 4) PATRICIA D. MCNEILL
- 5)
- 6)
- 7)
- 8)

12-10-98

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates:

- 1) NOVEMBER 19, 1998
- 2) NOVEMBER 20, 1998
- 3) NOVEMBER 30, 1998
- 4) NOVEMBER 20, 1998
- 5)
- 6)
- 7)
- 8)

2. Name and address of receiving party:

Name: CORIXA CORPORATION

Internal Address: _____

Street Address: 1124 COLUMBIA STREET

SUITE 200

City: SEATTLE

State WA

Zip: 98104

Additional names & addresses attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the execution date of the application is _____

A. Patent Application No(s).
09/159,469

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: SEED AND BERRY LLP

Internal Address: DAVID J. MAKI, ESQ.

6300 COLUMBIA CENTER

Street Address: 701 FIFTH AVENUE

City: SEATTLE State: WA ZIP: 98104-7092

6. Total number of applications and patents involved.....

1

7. Total Fee (37 CFR 3.41): \$40

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

40E

12/11/1998 JSH/BAZZ 00000066 09159469

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40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DAVID J. MAKI

Name of Person Signing

Signature

Date

12/7/98

Total number of pages including cover sheet, attachments, and document: 4

ASSIGNMENT

WHEREAS, we, Steven G. Reed, Michael J. Lodes, Raymond L. Houghton and Patricia D. McNeill (hereinafter referred to as ASSIGNORS), having post office addresses of 2843 - 122nd Place Northeast, Bellevue, Washington 98005; 9223 - 36th Avenue Southwest, Seattle, Washington 98126; 2636 - 242nd Place Southeast, Bothell, Washington 98021, and 1421 South 248th Street, Des Moines, Washington 98198, respectively, are the joint inventors of an invention entitled "COMPOUNDS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF EHRLICHIA INFECTION," as described and claimed in the specification forming part of a continuation-in-part application for United States letters patent which was filed on September 23, 1998 and assigned Application No. 09/159,469; which is a continuation-in-part of U.S. Application No. 09/106,582, filed June 29, 1998, which is a continuation-in-part of U.S. Application No. 08/975,762, filed November 20, 1997, which is a continuation-in-part of U.S. Application No. 08/821,324, filed March 21, 1997 which applications are pending.

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of

applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

19 Nov 1998
Date Steven G. Reed
State of Washington
County of King } ss.

I certify that I know or have satisfactory evidence that Steven G. Reed is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated November 19 1998
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

11-20-98
Date Michael J. Lodes
State of Washington
County of King } ss.

I certify that I know or have satisfactory evidence that Michael J. Lodes is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated November 20 1998
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date

11/30/98

Raymond L. Houghton

Raymond L. Houghton

State of

Washington

County of

King

ss.

I certify that I know or have satisfactory evidence that Raymond L. Houghton is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

November 30, 1998

Signature of
Notary Public

Deirdre I. Bryan

Printed Name

DEIRDRE I. BRYAN

My appointment expires

09/01/02

Date

11.20.98

Patricia D. McNeill

Patricia D. McNeill

State of

Washington

County of

King

ss.

I certify that I know or have satisfactory evidence that Patricia D. McNeill is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

November 20, 1998

Signature of
Notary Public

Deirdre I. Bryan

Printed Name

DEIRDRE I. BRYAN

My appointment expires

9/1/02