

12-29-1998

FORM PTO-1595
(Revised 6-93)



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PATENTS ONLY

DEC 18 PM 2:20

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

SPR/FINANCE

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO.: 03043.0002

1. Name of conveying party(ies): Syamal
Raychaudhuri, Paul R. Sleath

Additional names of conveying party(ies)
attached?

☐ YES ☒ NO

2. Name and address of receiving party(ies):

Name: Corixa Corporation

Internal Address:

Street Address: 1124 Columbia Street
Suite 464

City: Seattle

State: Washington

Zip: 98104

Additional name(s) and address(es) attached?

☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Other

☐ Security Agreement

☐ Change of Name

Execution Date: October 28, 1998

4. Application number(s) or patent number(s): 09/143,162 filed August 28, 1998

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 09/143,162

B. Patent No.(s):

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence
concerning document should be mailed:

Mitchell A. Katz, Esquire
NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811
(404) 688-0770

6. Total number of applications and patents involved:
One

7. Total fee (37 CFR 3.41):

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to Deposit Account.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit
any overpayment, to Deposit Account No. 14-0629.

8. Deposit account number: 14-0629

(Attach duplicate copy of this form if paying by deposit account)

PATENT
REEL: 9656 FRAME: 0687

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mitchell A. Katz

Mitchell A. Katz
Reg. No. 33,919

December 15, 1998
Date

Total Number of Pages Including Cover Sheet, Attachments, and Document: 5

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 15th day of December, 1998.

Mitchell A. Katz

Mitchell A. Katz

December 15, 1998
Date

ASSIGNMENT

WHEREAS, SYAMAL RAYCHAUDHURI, a citizen of India, residing at 4232 86th Avenue, S.E., Mercer Island, Washington 98040, U.S.A.; and PAUL R. SLEATH, a citizen of Great Britain, residing at 1623 8th Avenue West, Seattle, Washington 98119, U.S.A., have invented new and useful improvements in a "RAPID RELEASE ENCAPSULATED BIOACTIVE AGENTS FOR INDUCING OR POTENTIATING AN IMMUNE RESPONSE AND METHODS OF USING THEREOF" for which a utility patent application for United States Letters Patent was filed on August 28, 1998, Serial No. 09/143,162; and

WHEREAS, CORIXA CORPORATION, whose post-office address is 1124 Columbia Street, Suite 464, Seattle, Washington 98104, a Delaware corporation, is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) the receipt of which is hereby acknowledged, and for other good and valuable consideration, we, SYAMAL RAYCHAUDHURI and PAUL R. SLEATH, hereby do sell, assign and transfer unto said CORIXA CORPORATION, the entire right, title and interest in and to said invention and application throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application claiming priority to the above-identified application under 35 U.S.C. § 119(e), reissue, reexamination, division, continuation-in-part, extension or continuation thereof and all rights of priority under the International Convention arising from said application; the same for its legal representatives and assigns, as fully and

entirely as the same would have been held by us had this assignment and sale not been made;

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and assigns properly to execute without further consideration any and all applications, petitions, oaths and assignments or other papers and instruments which may be necessary in order to carry into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended to be made and generally do everything possible to aid CORIXA CORPORATION, its legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries throughout the world.

IN WITNESS WHEREOF, I have executed this Assignment this 28th day of

OCT, 1998.

Syamal Raychaudhuri (L.S.)
SYAMAL RAYCHAUDHURI

State of Washington

County of King

On this 28th day of October, 1998, before me, a Notary Public, came Syamal Raychaudhuri, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Heidi S. Bryan
Notary Public

My Commission Expires: 9/1/02

IN WITNESS WHEREOF, I have executed this Assignment this 28th day of October, 1998.

Paul R. Sleath 10/28/98 (L.S.)
PAUL R. SLEATH

State of Washington

County of King

On this 28th day of October, 1998, before me, a Notary Public, came Paul R. Sleath, to me known and known to be the individual described in and who executed the foregoing assignment, and he duly acknowledged the same to be his free act and deed.

Heidi J. Bryan
Notary Public

My Commission Expires: 9/1/02