12-29-1998



PATENT

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| Conveying Party(ies) | if additional names of conveying par E Mont | xecution Date | | | |
| Name Ken-ichi Kimura | | 11/13/98 | | | |
| Name Yoshikazu Ikeda | | 11/13/98 | | | |
| Name Shinobu Kagami | | 11/13/98 | | | |
| Name Hidetoshi Takahashi | | 11/13/98 | | | |
| Name Kousaku Takahashi | | 11/13/98 | | | |
| Receiving Party | Mark if additional names of receiving | g parties attached | | | |
| Receiving Party Name (line 1) Snow Brand Milk Products Co., Ltd. Name (line 2) Address (line 1) 1-1, Naebocho 6-chome Address (line 2) Higashi-ku, Sapporp, Hokkaido 065-0 | If document is an assignmere domiciled in a domestic repart datached (D | g parties attached to be recorded nent and the ty is not | | | |
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| | Page 2 | PATENT | | |
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| Correspondent Name and Address | | | | |
| Area Code a | and Telephone Number (617) 248-700 | 00 | | |
| Name Patent Administrator | | | | |
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| Address (line 2) High Street Tower | | | | |
| Address (line 3) 125 High Street | | | | |
| Address (line 4) Boston, MA 02110 | | | | |
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| Fee Amount Fee Am | nount for Properties Listed (37 CFR 3. | .41): \$ 40.00 | | |
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| Statement and Signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein. | | | | |
| Michael H. Brodowski | Mill I Anodenialis | 12/15/98 | | |
| Name of Person Signing | Signature | Date | | |

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PATENTS ONLY ADDITIONAL NAMES

| Conveyin | g Party(ies) | |
|----------|------------------|----------------|
| | | Execution Date |
| | | Month Day Year |
| Name | Makoto Yoshihama | 11/13/98 |
| Name | Makoto Ubukata | 11/13/98 |
| Name | Kiyoshi Isono | 11/14/98 |
| Name | | |
| Name | | |
| | | |

565dmr3999/70.693591-1

PATENT REEL: 9657 FRAME: 0106

ASSIGNMENT

WHEREAS, We, Ken-ichi KIMURA, Yoshikazu IKEDA, Shinobu KAGAMI, Hidetoshi TAKAHASHI, Kousaku TAKAHASHI, Makoto YOSHIHAMA, Makoto UBUKATA, and Kiyoshi ISONO have invented one or more improvements in:

NOVEL ANTIBIOTICS RK-1061 AND PROCESS FOR PREPARING THE SAME

described in an application (or provisional application) for Letters Patent of the United States:

for which an application for United States Letters Patent accompanies this document; and

for which an application for United States Letter Patents was filed on 26 October, 1998; Serial No. 09/171,787; and,

for which an application for United States Letters Patent was executed on _____; and

for which International Application No. PCT/JP97/01466 designating the United States of America was filed on 25 April 1997; and

WHEREAS, Snow Brand Milk Products Co., Ltd. (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of Japan, and having a usual place of business at 1-1, Naebocho 6-chome, Higashi-ku, Sapporp, Hokkaido 065-0043 JAPAN, desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application of such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

> PATENT REEL: 9657 FRAME: 0107

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Date: Nov. 13, 1998 Inventor: Ainichi Ainura
Ken-ichi Kimura

Date: Nov. 13: 1998 Inventor: Yoshikazu Ikeda
Yoshikazu Ikeda

Date: Nov. 13, 1998 Inventor: Shinobu Lagani

| Date: NOV 1374 1998 | Inventor: | Id Tahahashi Hidetoshi Takahashi |
|-------------------------------|----------------|-----------------------------------|
| Date: <u>Nov. 13th. 199</u> 8 | Inventor: | K. Jakahashi Kousaku Takahashi |
| Date: <u>Nov. 13+4. 1998</u> | Inventor: | M. John Makoto Yoshihama |
| Date: <u>Nev. 13, 1998</u> | Inventor: | Maketa Ulahuta Makoto Ubukata |
| Date: Nov. 14, 98 | P Inventor: | Kiyoshi Isono |

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RECORDED: 12/18/1998

PATENT REEL: 9657 FRAME: 0109