12-29-1998

Practitioner's Docket No. 9825



**PATENT** 

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

### 100930351 Patents

## COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION (37 CFR 3.31)

### IDENTIFICATION OF APPLICATION (37 CFR 3.21 and 37 CFR 3.31(a)(4))

- 1. The patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:
  - a. Type of application: Application for patent (37 C.F.R 1.53(b))
  - b. Date of execution: December 11, 1998
  - c. Name of each inventor:
    - 1: SAEKI, Takuya
    - 2: NISHIBAYASHI, Hideyuki
    - 3: YAMAGUCHI, Shigeru
    - 4: MIURA, Satoru
  - d. Title of invention: AMIDE-GROUP-C'ONTAINING POLYACETAL AND ITS PRODUCTION PROCESS AND USE

#### **CERTIFICATION UNDER 37 C.F.R. 1.10\***

(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

Thereby certify that this "Cover Sheet for Assignment (Document) Accompanying New Patent Application" and the documents referred to as attached therein are being deposited with the United States Postal Service on this date <u>December 15, 1998</u>, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number <u>EI484087398US</u>, addressed to the: Assistant Commissioner for Patents, Washington, Q.C. 20231.

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40.00 BP

Robert J. Jacobson

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING:

Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet for Assignment Accompanying New Patent Application—page 1 of 3)

PATENT REEL: 9659 FRAME: 0540

#### NAME OF PARTY(IES) CONVEYING INTEREST (37 CFR 3.31(a)(1))

2. The parties conveying this interest are:

Name 1: SAEKI, Takuya

Name 2: NISHIBAYASHI, Hideyuki Name 3: YAMAGUCHI, Shigeru

Name 4: MIURA, Satoru

#### NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 CFR 3.31(a)(2))

3. The rights are being conveyed to:

Nippon Shokubai Co., Ltd. 1-1, Koraibashi 4-chome, Chuo-ku Osaka-shi, Osaka, 541-0043 Japan

#### DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 CFR 3.31 (a)(3))

4. The accompanying document intends to accomplish an assignment.

## NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5))

5. Please address correspondence to:

Robert J. Jacobson Highland Office Center, Suite 202 790 Cleveland Avenue South St. Paul, MN 55116 (651) 698-4008

#### DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 CFR 3.31(a)(7))

6. The attached assignment (document) was executed on December 11, 1998.

(Cover Sheet for Assignment Accompanying New Patent Application—page 2 of 3)

PATENT REEL: 9659 FRAME: 0541

#### LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

7. The attached document is in the English language.

#### ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

8. Submitted herewith is a true copy of the original document.

## NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET AND THE FEE

- 9. A. This cover sheet identifies only one application.
  - B. The fee for recordal (37 CFR 1.21(h)) is \$40.00. Attached is Check No. 5452 for \$40.00.

#### **STATEMENT** (37 CFR 3.31(a)(9)) **AND SIGNATURE** (37 CFR 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 15 December 1998

Signature of Aractitioner

Reg. No. 32,419

Robert J. Jacobson

Tel. No.: (612) 698-4008

Robert J. Jacobson, P.A. 790 Cleveland Avenue South. Suite 202

St. Paul, MN 55116-1958

#### TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are: 5

(Cover Sheet for Assignment Accompanying New Patent Application—page 3 of 3)

PATENT REEL: 9659 FRAME: 0542

# ASSIGNMENT FOR UNFILED APPLICATION FOR UNITED STATES PATENT WHEREAS:

Assignor's name	Assignor's address
Fakuya SAEKI	4-52, Nakanoshima-cho, Suita-shi, OSAKA 564-0035 JAPAN
Hideyuki NISHIBAYASHI	5-1-2-3101, Ekiminami-dori, Hyogo-ku, Kobe-shi, HYOGO 652-0897 JAPAN
Shigeru YAMAGUCHI	5-112-4, Koorigawa, Yao-shi, OSAKA 581-0872 JAPAN
Satoru MIURA	2-11-1-101, Nishigawara, Ibaraki-shi, OSAKA 567-0023 JAPAN
<u>.</u>	

(hereinafter referred to as ASSIGNORS) have invented and own, or are under an obligation to assign, a certain invention entitled

AMIDE-GROUP-CONTAINING POLYACETAL AND ITS PRODUCTION PROCESS AND USE

for which application for Letters Patent of the United States has been executed on even date herewith,

#### WHEREAS:

Company (assignee)	Company address
Nippon Shokubai Co., Ltd.	1-1, Koraibashi 4-chome, Chuo-ku,
	Osaka-shi, Osaka 541-0043 JAPAN

(hereinafter referred to as ASSIGNEE) is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to each of the ASSIGNORS of the sum of

ANA CONTRACTOR OF A CONTRACTOR

97:11 PATE NOTE 66:

REEL: 9659 FRAME: 0543

One Dollar (\$1.00) or foreign equivalent, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS THEREOF, we have hereunto set hand and seal this 11th day of December , 1998

Assignor's name (typed)	Assignor's signature
Takuya SAEKI	Takin a Sachi
Hideyuki NISHIBAYASHI	Takeya Sachi Hideguhi Bislitanashi Singer Janyar
Shigeru YAMAGUCHI	Shigern Janyary
Satoru MIURA	Satoru Mivra

**RECORDED: 12/15/1998**