FORM PT0-1595 1-31-92



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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): John E. Puchosic Name: Caterpillar Inc. Additional Names attached: [] Yes [X] No Internal Address: Intellectual Property Department Street Address: 100 N.E. Adams Street 3. Nature of Conveyance: City: Peoria State: Illinois (X) Assignment Zip: 61629-6490 [] Security Agreement [] Other Execution Date(s): 12/16/98 Additional name(s) & addresses attached? [] Yes [X] No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is 12/16/98. A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? [] Yes [X] No Title of Invention: HOOK MECHANISM FOR A COMPACTING MACHINE Attorney Docket No.: 97-248 5. Name and address of party to whom correspon-6. Total number of applications and patents dence concerning document should be mailed: involved [1] Name: David M. Masterson CATERPILLAR INC. 7. Total fee (37 CFR §3.41) \$ 40.00 40 B [] Enclosed
[X] Authorized to be charged to deposit Internal Address: Intellectual Property Dept. account Street Address: 100 N. E. Adams Street 8. Deposit account number: 03-1129 _____State: <u>IL</u> City: Peoria

9. Statement and signature:

Zip: <u>61629-6490</u>

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David M. Masterson Name of Person Signing

Signature

(If charged duplicate copy attached)

12/17/98 Date

Total number of pages comprising cover sheet, attachments, and document: [2]

Mail document to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231

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PATENT **REEL: 9659 FRAME: 0965**

ASSIGNMENT

WHEREAS, JOHN E. PUCHOSIC, residing at Naperville, Illinois, hereinafter referred to as ASSIGNOR, has invented a new and useful

HOOK MECHANISM FOR A COMPACTING MACHINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on December 16, 1998; and

WHEREAS, CATERPILLAR INC., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

- ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
- 2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
- ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to CATERPILLAR INC.
- 4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR's heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument. 16 DBC 98

IN WITNESS OF the execution hereof by JOHN E. PUCHOSIC we have hereunto set our hands.

Matthew J. Wake 16 DEC1998
Witness (1) (Date)

Address: 431 W. David

Address: 29 BROOKSIDELY Apt. F
Brz1370 L L 605/2
Morton, IL 6/550

PATENT **RECORDED: 12/17/1998 REEL: 9659 FRAME: 0966**