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Atty. Docket No. 935-033

FORM PTO-1595
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HEET

U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks, attached original documents or copy thereof.

1. Name of conveying party(ies):

Klausdieter Schippl

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Alcatel Alsthom Compagnie
Générale d'Electricité

Internal Address: _____

Street Address: 54 rue La Boetie

City: Paris State: France ZIP: 75008

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: April 9, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/040,018

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: K. Bradford Adolphson

Internal Address: Bradford Green

Building Five

Street Address: 755 Main Street

P.O. Box 224

City: Monroe State: CT ZIP: 06468

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

23-0442 HCE

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

K. Bradford Adolphson

Name of Person Signing

Signature

5/5/98

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet [16-5]-page 1 of 3)

PATENT
REEL: 9661 FRAME: 0098Record as of
MRD 5/11/99

PATENT

Attorney Docket
No. 935-033

ASSIGNMENT AND AGREEMENT

FOR VALUE RECEIVED, I, KLAUSDIETER SCHIPPL, a citizen of Germany and residing at Rischkamp 60, D-30659 Hannover, Germany, hereby sell, assign and transfer to Alcatel Alsthom Compagnie Générale d'Electricité, a corporation duly organized and existing under the laws of France and having a principal place of business at 54 rue La Boétie, 75008 Paris, France, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to improvements in SPACER BLOCK FOR AN ELONGATED SUBSTRATE which is described in an application for Letters Patent of the United States, Application Serial No. 09/040,018 filed March 17, 1998, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

I request that any and all patents for said invention be issued to said assignee, its successors, assigns and legal representatives or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said

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inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

I authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file a like document which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein

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conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Hannover this _____
day of April 9, 1998.

Klausdieter Schippl
Klausdieter Schippl

Signed in our presence and acknowledged to be an assignment of the application (invention) herein above referred to

Witness: Rainer Uster

Date: April 9, 1998

Witness: Rege. Breyer

Date: 4. April 1998