

12-30-1998



100933589

TO THE HONORABLE COMMISSIONER
SIR: PLEASE RECORD THE ATTACHED

OF.

1. NAME OF CONVEYING PARTY(IES) (Assignor(s))

1. Johannes A.M. VanBeek

2. Gerardus J.M. Gruter

3.

4.

5.

6.

7.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: DSM N.V.

ADDRESS: Octrooibureau DSM, P.O. Box 9, 6160 MA Geleen, The Netherlands

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTERESTEXEC. DATE: 1. July 10, 1997;
2. June 30, 1997☐ CHANGE OF NAME ☐ VERIFIES TRANSLATION☐ SECURITY ☐ MERGER ☐ OTHER:

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) 1. July 10, 1997; 2. June 30, 1997

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NOA. PAT. APP. NO.(S)
series code/serial
no

M#

1st INVENTOR
if not in item 1

B. PATENT NO(S)

M#

1st INVENTOR
if not in item 1

08/850,274

235986

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:Cushman Darby & Cushman
Intellectual Property Group of
Pillsbury Madison & Sutro LLP
1100 NEW YORK AVENUE, N.W.
NINTH FLOOR, EAST TOWER
WASHINGTON, D.C. 20005-3918

6. NUMBER INVOLVED:

APPLNS 1 + PATS: 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

235986

8647US

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO

30268

235986

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

09/24/1997 DBEACH 00000016 08850274
03 EC-581 40.00.00

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signature

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

Attorney: Kendrew H. Colton

Reg. No. 30368

Date: September 2, 1997

Atty/Sec: KHC/kim

TEL: (202) 861-3606

FAX: (202) 822-0944

FILE WITH PTO RETURN RECEIPT (CDC-103A)

CDC-114X 11/1996

PATENT
REEL: 9661 FRAME: 0479

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT
NAME(S) OF
INVENTOR(S)

(1) Johannes A.M. VanBeek	(4)
(2) Gerardus J.M. Gruter	(5)
(3)	[] x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT
NAME(S) OF
ASSIGNEE(S)
& ADDRESS(ES)

DSM N.V., Octrooibureau DSM, P.O. Box 9, 6160 MA Geleen, The Netherlands

(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof, and all resulting patents, known as

TITLE OF
INVENTION

CYCLOPENTADIENE COMPOUND SUBSTITUTED WITH A HETERO ATOM-CONTAINING GROUP

for which the undersigned executed an application for Letters Patent of the United States of America:

NOTE →→
(Complete
line A, B and/or C)

(A) ☐ even date herewith

(B) ☒ on May 2, 1997

(C) ☒ in U.S. Appln. No. 08 / 850,274 filed May 2, 1997

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE →→ The undersigned hereby authorize(s) Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Sutro, of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTOR(S)

DATE SIGNED

WITNESSES

1) X Johannes A.M. VanBeek
Name: **Johannes A.M. VanBeek**

X July 10, '97

X

2) X Gerardus JM Gruter
Name: **Gerardus J.M. Gruter**

X June 30, 1997

X

3) _____
Name: _____

4) _____
Name: _____

5) _____
Name: _____

IF ADDITIONAL INVENTORS, check box ☐ and continue on page 2.