12-30-1998 PECORDATION FORM HDE95/k02 **PATENTS** or copy thereof To the Honorable Commissioner of Patent and Trademarks: Please 100931481 Name of conveying party: g parmy: MARTIN JAKOB CLARIANT GmbH HEINZ HENNEMANN BRÜNINGSTRASSE 50 VOLKER MATZ D-65929 FRANKFURT AM MAIN GERMANY Additional name(s) of conveying party(ies) attached? ( ) Yes (X) No 3. Nature of conveyance: (X: Assignment ( ) Merger ( ) Security Agreement ( ) Change of Name Execution Date: July 10, 1997 4. Application number: A. Patent Application No.: Serial No. 08/930,096 filed November 12, 1997 Additional numbers attached? ( ) Yes (X) No 5. Name and address of party to whom 6. Total number of applications and patents correspondence concerning document should be involved: 1 mailed: CLARIANT CORPORATION INDUSTRIAL PROPERTY DEPARTMENT 7. Total fee (37 CFR 3.41)...\$40.00 4331 CHESAPEAKE DRIVE Enclosed CHARLOTTE, NORTH CAROLINA 28216 Authorized to be charged to deposit account. 8. Deposit account number: 03-2060 (Attach duplicate copy of this page of paying by deposit account) 2/22/1998 JSHRBRZZ 00000086 032060 08930096 DO NOT USE THIS SPACE 1 FC:581 40.00 CH 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Philip P. McCann, #30,919 December Name of Person Signing Date Total number of pages including cover sheet (including duplicate copy), attachments, and document: 3 Mail documents to be recorded with required cover sheet information to: Assistant Commissioner for Patents Box Assignments Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Buiget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Box Assignment, Washington, D.C. 20231.

Kathleen A. Jacobs

Date <u>December 16, 1998</u> PATENT

**REEL: 9663 FRAME: 0426** 

## ASSIGNMENT

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we

- 1) Dr. Martin JAKOB, Freiherr-vom-Stein-Straße 35, 65779 Kelkheim, Germany
- 2) Heinz HENNEMANN, Grebenstraße 38, 65558 Oberneisen, Germany
- 3) Dr. Volker MATZ, Geisenheimer Straße 93, 60529 Frankfurt, Germany

Citizenship: 1) - 3) German

hereby sell, assign, and transfer unto Clariant GmbH

a corporation organized under the laws of Germany located at Brüningstrasse 50, D-65929 Frankfurt am Main, Germany,

the entire right, title and interest in and to my/our application for Letters Patent of the United States, executed concurrently herewith /

Ser.-No.:

Inventor(s):

**RECORDED: 12/16/1998** 

filed:

entitled: Polyvinyl ester dispersion with metal salt hydrosols as seed base

and my/our entire right, title, and interest in and to all my/our inventions disclosed in said application for Letters Patent, and in and to all divisional or continuation applications that may be filed for United States Letters Patent for any of said inventions, and in and to all patents that may be granted on the foregoing applications, and I/we hereby agree, whenever requested, to communicate to said assignee, its successors and assigns, any facts known to me/us respecting said inventions, to testify in any legal proceeding, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in the United States.

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| 3rd: Who Hit           | Date:       |
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| 5th:                   | Date:       |
| 6th:                   | Date:       |

PATENT REEL: 9663 FRAME: 0427