

12-30-1998

Docket No. 2016-4013US1

MUP
12-21-98



IN THE UN

100932258

ARK OFFICE

Applicant(s) : Steinman, R.M. et al.

Serial No. : 09/179,504 Group Art Unit: 1744

Filed : October 27, 1998 Examiner: To Be Assigned

For : METHODS AND COMPOSITIONS FOR OBTAINING MATURE DENDRITIC
CELLS

RECORDATION FORM COVER SHEET PURSUANT TO 37 C.F.R. § 3.31

Hon. Commissioner of Patents and Trademarks
BOX ASSIGNMENTS
Washington, D.C. 20231

Sir:

Please record the attached original documents or copy thereof.

1. Name of conveying party/parties:

Ralph M. Steinman residing at 62 North Avenue, Westport, CT 06889, USA

Nina Bhardwaj residing at 114 Upper Mountain Avenue, Montclair, NJ 07042, USA

2. Name and address of receiving party/parties:

Name The Rockefeller University

Internal Address: 1230 York Avenue

Street Address: 1230 York Avenue

City New York State New York ZIP 10021

[] Additional names and addresses attached.

3. Name of conveyance: Assignment Merger Security Agreement

Change of Name Other _____

Execution Date: October 21, 1998

4. Application Number(s) or Patent Number(s):

[] This document is being filed together with a new application which was executed on _____

[X] Patent Application No.(s) 09/179,504

[] Patent No.(s) _____

CTM/PTM/ANCE
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10 0 53

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10 0 53

5. Address all future communications to:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154

6. Total number of applications and patents involved: one

7. Total fee (37 CFR §3.41): \$40.00 property x 1 property(ies) = \$ 40.00

A check in the amount of \$ 40.00 to cover the recordation fee is enclosed.

Charge fee to Deposit Account No. 13-4500. Order No. _____.

The Commissioner is hereby authorized to charge any additional fees which may be required for this recordation, or credit any overpayment to Deposit Account No. 13-4500. Order No. 2016-4013US1.

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: December 18, 1998

By: Darryl H Steensma
Darryl H. Steensma
Registration No. 43,155

CORRESPONDENCE ADDRESS: Total number of pages including the recordation cover sheet five

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

FORM: REC-PAT.NY
Rev. 05/26/98

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

Ralph M. Steinman of 62 North Avenue, Westport, CT 06889 U.S.A.; andNina Bhardwaj of 114 Upper Mountain Aveune, Montclair, NJ 07042 U.S.A.

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), have made a discovery or invention entitled:

METHODS AND COMPOSITIONS FOR OBTAINING MATURE DENDRITIC CELLS

(title of discovery or invention)

 for which application for Letters Patent of the United States has been executed on even date herewith, for which application for Letters Patent of the United States has been filed on _____, under Serial No. _____, and

WHEREAS:

The Rockefeller University of 1230 York Avenue, New York, New York 10021 U.S.A.

(name and address of assignee)

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of _____ Dollar (\$ _____), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and behalf of the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

I, SAID, ASSIGNOR(S), hereby covenant that I have full right to convey the entire right, title and interest herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE, its successors, legal representatives, or assigns, may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE, its successors, legal representatives, or assigns, communicate to the ASSIGNEE, its successors,

legal representatives, or assigns, as the case may be, any facts known to me respecting said discover or invention, and execute and deliver and all lawful papers that may be necessary or desireable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE, its successors, legal representatives and assigns, and that it reissues of the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the ASSIGNEE, its successors, legal representatives, or assigns, sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation and reissue applications so desired, and do all lawful acts requisite for the application for such reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discover in all countries, and without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

Assignor's signature: *Ralph M. Steinman*
Ralph M. Steinman

Citizenship: United States of America

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my seal this 21 day of Oct, 1998

STATE OF New York ss.:
COUNTY OF Queens

On this 21 day of Oct, 1998 before me, the undersigned authority, personally appeared to me known and known to me to be the individual who is described in and who executed the foregoing Assignment, and who duly acknowledged to me that he executed the same as his own voluntary act and deed for the uses and purposed therein specified.

Marguerite T. Kully
Notary Public

MARGUERITE T. KULTY
Notary Public, State of New York
No. 41-4538775
Qualified in Queens County
Commission Expires 8/31/2000

