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To the Honorable Commissioner of Pa. MRD 12-18-98

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record ine attached documents or

copy thereof.

(1) Conveying Party(ies): Richard J. Cereo

Shou-Jong Sheih

Title: An Apparatus and Method for Amplifying an Optical Signal

Additional name(s) of conveying party(ies) attached? Yes

(2) Receiving Party: Corning Incorporated

Patent Dept.; SP-FR-02-12 Corning, NY 14831

- (3) Nature of conveyance: Assignment executed: December 16, 1998
- (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s):

B. Patent No.(s):

Filing date: Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on December 16, 1998.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name:

Philip G. Alden Reg. No. 32,189 Corning Incorporated Patent Department SP-FR-02-12

Corning, NY 14831

Phone No.: (607) 974-8803

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = $$40.00 \blacksquare$

Enclosed (Check No.)

Included with filing fee. Check No.

Authorization given by Corning Incorporated to charge deposit account number <u>03-3325</u>

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip G. Alden

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Total number of pages comprising cover sheet: three

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> **PATENT REEL: 9666 FRAME: 0478**

Case Name: Cereo 1-1

ASSIGNMENT

FOR VALUE RECEIVED, WE, Richard J. Cereo residing at 1399 State Rt. 414, Beaver Dams, NY 14812 and Shou-Jong Sheih residing at 4899 Hillview Rd., Millport, NY 14864; hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to An Apparatus and Method for Amplifying an Optical Signal and generally described in an application for Letters Patent of the United States, executed by us on

DATE December 16,1998

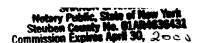
DATE December 16,1998

respectively, and all the rights and privileges under any and all Letters Patents that may be granted therefor. We request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

We agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

We authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its



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Case Name: Cereo 1-1

successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

| Signed at Corning, N.Y., this | | |
|---|-----------|---|
| Pec 115TH | 199∑ | Richard J. Cereo |
| Dec. 16 | 199& | Shou-Jong Sheih |
| | | |
| State of New York) : ss. County of Steuben) | | |
| On the \\ day of \\ \sigma_e \\ | cribed in | 199 <u>b</u> , before me personally came Richard J. Cereo and who executed the foregoing instrument, and e. |
| | | Shower Carrier Notary Public |
| State of New York) : ss. | | SHARON C. ARCHER Notary Public, State of New York Stauban County No. GLASMS36431 Commission Expires April 38, 2000 |
| | cribed in | 1998 before me personally came Shou-Jong Sheih and who executed the foregoing instrument, and |
| acknowledged the execution of | one sun | Shown Cacher |

RECORDED: 12/18/1998

PATENT REEL: 9666 FRAME: 0480