

12-24-1998

FORM PTO-1595 (Substitute)

(Rev. 8-96)

To the Honorable Commissioner of Patents

1 Name of conveying party(ies):

Steve C.F. Au-Yeung, Yee Ping Ho and  
Lin Ge

Additional name(s) of conveying party(ies) attached?

☐ Yes ☐ No

3. Nature of Conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date(s) 6/5/98; 6/5/98; 6/5/98

Name The Chinese University of Hong Kong

Internal Address:

Street Address: Shatin, New Territories

Hong Kong Special Administrative Region

City/State/Zip: The People's Republic of China

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): 5/6/98; 5/6/98; 5/6/98

A Patent Application No(s) 09/069,562

April 29, 1998

B Patent No(s)

Additional numbers attached?



Yes



No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Albert Wai-Kit Chan

Internal Address:

Street Address: Cooper &amp; Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved:

7. Total fee (37 CFR §3.41): \$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Signature

December 18, 1998

Date

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Albert Wai-Kit Chan

Date

Reg. No. 36,479

Total Number of pages including cover sheet, attachments and document 4

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 9673 FRAME: 0170

# ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Steve C.F. Au-Yeung  
Yee Ping Ho  
Lin Ge

Hereby sell, assign and transfer to      The Chinese University of Hong Kong,  
a corporation of the

~~State of~~

an institution      having a place of business at      Shatin, New Territories,  
Hong Kong Special Administrative Region, The People's Republic of China.

~~In the County of~~

~~And State of~~

their successors, assigns and legal representatives, the entire right, title and interest for all  
countries, in and to any and all inventions which are disclosed and claimed, and any and all  
inventions which are disclosed but not claimed, in the application for United States Letters  
Patent, which has been executed by the undersigned on    5/6/98                      and is entitled

## SYNTHESIS OF PLATINUM COMPLEXES AND USES THEREOF

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all  
other applications for United States Letters Patent or other related property rights in any and all  
foreign countries which have been or shall be filed on any of said inventions disclosed in said  
application; and in and to all original and reissued patents or related foreign documents which  
have been or shall be issued on said inventions;


Authorize and request the Commissioner of Patents of the United States to issue to said  
Assignees, the corporations above named, their successors, assigns and legal representatives, in  
accordance with this assignment, any and all United States Letters Patent on said inventions or  
any of them disclosed in said application:

Agree that said assignees may apply for and received foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions, execute all rightful oaths, assignments, powers of attorney and other papers, communicate to said Assignees, their successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

  
Steve C.F. Au-Yeung

Date: 5 June, 1998, 1998

Witness 

Mr. M.C. WONG  
CHEMISTRY DEPT  
CUHK, SHATIN, NT  
HONG KONG SAR

Yee Ping Ho  
Yee Ping Ho

[L.S.]

Date: June 5, 1998

Witness Albert H. L. Chow

ALBERT H. L. CHOW  
DEPT OF PHARMACY  
CHINESE UNIVERSITY OF HONG KONG  
HATIN, N.T., HONG KONG

Lin Ge  
Lin Ge

[L.S.]

Date: June 5, 1998

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