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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

CRAIG M. HERZINGER STEVEN E. GREEN  
BLAINE D. JOHS

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: September 22, 1998

MRD 12.28.98

2. Name and address of receiving party(ies)

Name: J.A. WOOLLAM CO. INC.

Internal Address: \_\_\_\_\_

Street Address: 645 M St.

City: LINCOLN State: NE ZIP: 68508

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/997,311

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JAMES D. WELCH

Internal Address: \_\_\_\_\_

Street Address: 10328 PINEHURST AVE.

City: LINCOLN State: NE ZIP: 68124

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00 E

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JAMES D. WELCH

Name of Person Signing

Signature

12/22/98

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 9674 FRAME: 0801

ASSIGNMENT

WHEREAS WE, BLAINE D. JOHS, of 420 W. Chancellor, Lincoln, NE 68005, and and CRAIG M. HERZINGER of 441 44th ST., APT. 1522, Lincoln, NE 68503; and STEVEN E. GREEN of 2641 S. 12th ST., Lincoln, NE 68502; are the Inventors of an invention titled "OPTICAL ELEMENTS FOR USE IN SPECTROSCOPIC ELLIPSOMETER AND POLARIMETER SYSTEMS, and

WHEREAS WE are the owners of record of a United States Patent Application of the title recited above which is being submitted to the United States Patent Office, the Serial Number of which is 08/997,311; and

WHEREAS WE intend to pursue Foreign Patent Applications under the Cooperation Treaty and directly in Non-Member Nations which concern the same Invention and Improvements thereto; and

WHEREAS WE are, under the terms of an Agreement or Employment Contract with J. A. WOOLLAM CO. INC., obligated to assign any and all Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future for said Invention and Improvements to J.A. WOOLLAM CO. INC. of 645 M St., #102, Lincoln, NE 68508; and

WHEREAS J.A. WOOLLAM CO. INC. is desirous of accepting an Assignment of any and all interest in said Applications for Patents, and Patents which Issue thereon, Domestic and Foreign, Present and Future as provided for in said Employment Contract with the ~~JOHS~~ inventor;

NOW THEREFORE, in recognition of the receipt of One Dollar (\$1.00) and other good and valuable consideration received from J.A. WOOLLAM CO. INC. WE, BLAINE D. JOHS, CRAIG M. HERZINGER and STEVEN E. GREEN do:

1. Hereby Sell, Assign, Transfer and/or otherwise Set Over Without Reservation and conditions other than those recited herein, to J.A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc. the entire interest in the Rights and Title to any Patent Applications and Patents which Issue thereon, Domestic and Foreign, Present and Future, for said Invention and Improvements; so that J.A. WOOLLAM CO. INC. shall hereafter own all Rights and Title as fully and completely as WE would have owned said Rights and Title were the present Assignment not made, with the full understanding that the entire Rights and Title to said Invention shall immediately vest, irrevocably, in J.A. WOOLLAM CO. INC. its Legal Representatives, Successors, Assigns etc.
2. Agree to execute all future documents as may be necessary to acquire issue of the pending Patent Application and additional Patents Applications for said Invention, and Improvements thereto, in the United States and in Foreign Countries.
3. Request that the Commissioner of Patents and Trademarks in the United States and the equivalent in Foreign Countries issue United States Patent Application, Serial Number 08/997,311, and such additional Patent Applications, Domestic or Foreign which

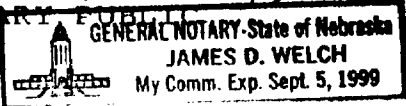
result from future Patent Cooperation Treaty Patent Application, Foreign or Domestic Patent Applications to J.A. WOOLLAM CO. INC., its Legal Representatives, Successors, Assigns etc., as the case might at the Issue Date thereof.

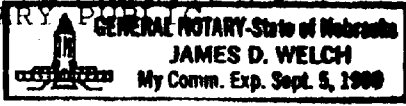
4. Agree that WE will, at no time, do anything to jeopardize the validity of any Patent or Patent Application, Domestic or Foreign, Present or Future other than as necessitated by the duty of Truthful Disclosure required during the normal course of Patent Application Prosecution or Litigation before an Examining or Judicial Authority.

IN AGREEMENT WITH THE FOREGOING, I BLAINE D. JOHS, AND I CRAIG M. HERZINGER, AND I STEVEN E. GREEN, AS INVENTORS HEREBY WILLINGLY AND FREELY, IN RECOGNITION OF GOOD, VALUABLE AND SUFFICIENT CONSIDERATION RECEIVED FROM J.A. WOOLLAM CO. INC., AND I, JOHN A. WOOLLAM AS PRESIDENT OF J.A. WOOLLAM CO. INC., EACH AFFIX OUR SIGNATURE TO EFFECT THE TERMS OF THIS ASSIGNMENT; ALL SIGNATURES BEING AFFIXED IN THE PRESENCE OF A NOTARY PUBLIC.

Blaine D. Johs 9-15-98  
BLAINE D. JOHS DATE  
INVENTOR

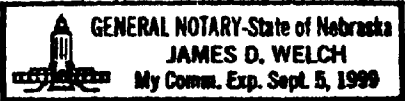
Craig M. Herzinger 9-15-98  
CRAIG M. HERZINGER DATE  
INVENTOR

J. D. Welch 9/15/98  
NOTARY PUBLIC DATE  


J. D. Welch 9/15/98  
NOTARY PUBLIC DATE  


John A. Woollam 9-15-98  
JOHN A. WOOLLAM DATE  
PRESIDENT  
J.A. WOOLLAM CO. INC.

Steven E. Green 9-22-98  
STEVEN E. GREEN DATE

J. D. Welch 9/15/98  
NOTARY PUBLIC DATE  


J. D. Welch 9/22/98  
NOTARY PUBLIC DATE  
