



01-07-1999



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FORM USPTO-1595 1-31-92	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office Attorney Docket No.: UNIV0090
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RECORDATION FORM COVER SHEET  
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**MAD 12.28.9**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies): <b>Robert Grimm</b> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <b>University of Washington</b> Address: <b>Seattle, Washington</b> Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
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3. Nature of conveyance:  
 Assignment     Merger     Security Agreement     Change of Name     Other \_\_\_\_\_  
 Execution Date(s): **December 9, 1998**

4. Application number(s) or Patent number(s): A. Patent Application Number(s): <b>09/168,125</b>	B. Patent Number(s):
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Additional numbers attached?  Yes  No

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

5. Name and address of party to whom correspondence concerning document should be mailed:  Ronald M. Anderson, Esq. Law Offices of Ronald M. Anderson 500 - 108th Avenue N.E., Suite 1710 Bellevue, WA 98004 (425) 451-8301	6. Total number of applications and patents involved? <u>1</u> 7. Total fee (37 C.F.R. § 3.41):..... \$ <u>40 E</u> 8. The fee of \$40 is included in check No. <u>3514</u> , which is enclosed. The Commissioner is authorized to charge any fees that may be required or credit any overpayment to Deposit Account No. 01-1940.
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9. Statement and signature:  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Ronald M. Anderson      Ron Anderson      December 22, 1998  
 Name of Attorney or Agent      Signature      Date  
 Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on December 22, 1998.

Date: December 22, 1998

Kathy Z. Davis

OMB No. 0651-0011 (exp. 4/94)

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Co-Inventor's ASSIGNMENT of PATENT(S) to the UNIVERSITY OF WASHINGTON

Attorney Docket No. UNIV0090  
Tech ID: 2230-2762DL

x 524 12th Ave. E #103

WHEREAS, I, **Robert Grimm** (hereinafter referred to as ASSIGNOR) having a post office address of ~~332 Summit Avenue East~~,\* Seattle, Washington 98102, am a co-inventor in the INVENTION(S) referred to as "**PROCESS FOR TRANSPARENTLY ENFORCING PROTECTION DOMAINS AND ACCESS CONTROL AS WELL AS AUDITING OPERATIONS IN SOFTWARE COMPONENTS**" as described and claimed in a specification for which a U.S. PATENT application is in process assigned Serial No. 09/168,125 and filing date of October 7, 1998;

WHEREAS, the **UNIVERSITY OF WASHINGTON** (hereinafter referred to as ASSIGNEE), a public institution of higher education having a place of business at Seattle, Washington is desirous of acquiring the ASSIGNOR'S entire right, title and interest in and to the INVENTION(S) and in and to any letters PATENT(S) that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assigns and transfers unto ASSIGNEE the ASSIGNOR'S entire right and title to and interest in said application and said INVENTION(S) for the United States of America and its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all letters PATENT(S) which may be granted therefor in the United States of America and its territorial possessions and an any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to claim priority rights deriving from said United States application by virtue of the International Convention, said INVENTION(S) and all applications and PATENT(S) on said INVENTIONS(S) to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by ASSIGNOR had this sale, assignment and transfer not been made. ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters PATENT(S) on said INVENTION(S) to ASSIGNEE. ASSIGNOR hereby further agrees and promises to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said INVENTION(S), to enforce any and all PATENT(S) on said INVENTION(S), and to confirm in ASSIGNEE legal title to said INVENTION(S) and all applications and PATENT(S) on said INVENTION(S), all without charge to ASSIGNEE but at no expense to ASSIGNOR.

Robert Grimm  
Date 12/9/98

STATE OF Washington )  
County of King ) ss

On this 9 day of December 1998, Robert Grimm personally appeared before me and executed the foregoing document.

Signature of Notary Public  
Alicen L. Smith  
Typed or Printed Name of Notary Public  
Notary Public in and for the State of Washington  
Residing at: Edmonds, WA  
My Commission expires: June 20, 2002

