

01-07-1999



U.S. DEPARTMENT OF COMMERCE  
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PATENTS ONLY

1569

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Chung-Tao Chu

2. Name and address of receiving party(ies)

Name: Neo Paradigm Labs, Inc

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Street Address: 2730 Orchard Parkway

City: San Jose State: CA ZIP: 95134

Execution Date: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/174,817

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: Sierra Patent Group, Ltd.

7. Total fee (37 CFR 3.41)..... \$ 40.00

Internal Address: \_\_\_\_\_

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit Account number:

Street Address: P.O. Box 6149

50-0612

City: Stateline State: NV ZIP: 89449

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kelly M. Beebie

Name of Person Signing

Kelly M. Beebie  
Signature

12/22/98  
Date

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents, Box Assignment, Washington, DC 20231

PATENT  
REEL: 9676 FRAME: 0130

# ASSIGNMENT

WHEREAS, I, Chung Tao Chu, a citizen of Taiwan, residing at 111 Saratoga Avenue #2226, Santa Clara, California have invented a "Pre-DCT Reside Filter" for which I have executed application papers for a U.S. patent thereon, which was filed on (Not Yet Filed); and

WHEREAS, NeoParadigm Labs Inc., a California corporation, having a place of business at 2730 Orchard Parkway, San Jose, California, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, I, Chung Tao Chu, do sell, assign, transfer and set over unto the said corporation, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to NeoParadigm Labs Inc., its successors and assigns, in accordance with this Assignment.

WITNESS OUR HAND at San Jose, California, this 22<sup>nd</sup> day of October, 1998.

[Signature]

STATE OF CALIFORNIA )

COUNTY OF Santa Clara ) ss.

On this 22 day of October, 1998, before me, Carolyn Standish, Notary Public, a Notary Public, personally appeared Chung-Tao Chu, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]  
NOTARY PUBLIC