

01-07-1999

EET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

*Corrective*  
*Mrd 1.5.99*

100936673

To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

## 1. Names of conveying party(ies):

James Dodds

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Corrected cover sheet to correct address

of assignee address erroneously entered on cover sheet previously recorded at Reel 9400, Frame 0787Execution Date: May 20, 1998

## 2. Name and address of receiving party(ies):

Name: Beloit Technologies, Inc.Internal Address: Suite 3001Street Address: 3513 Concord PikeCity: Wilmington State: DE Zip: 19803Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/007,659Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrick J. G. StiennonInternal Address: Suite 400Street Address: P.O. Box 1507City: Madison State: WI ZIP: 53701-1507

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41).....\$40.00 *G*

- ☒ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 15-0660

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Patrick J.G. Stiennon Reg. No. 34934

Name of person signing:

Signature:

December 24, 1998

Date

Total number of pages comprising cover sheet

1

PATENT

REEL: 9676 FRAME: 0869

MR 8-27-98

Attorney's Docket No. 4223bc

08-31-1998



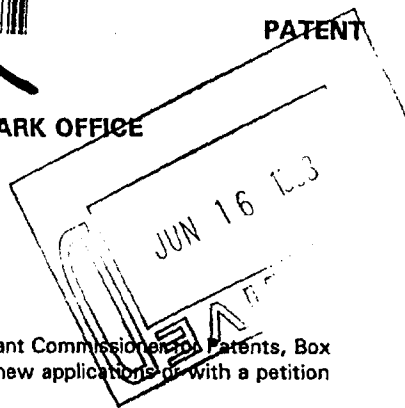
100810142

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Assignments  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NOTE: "Documents and cover sheets to be recorded should be addressed to Assistant Commissioner for Patents, Box Assignments, Washington, D.C. 20231, unless they are filed together with new applications or with a petition under § 3.81(b)." 37 CFR 3.27.



ASSIGNMENT (DOCUMENT) COVER SHEET (37 CFR 3.31)

NOTE: "A cover sheet may not refer to both patents and trademarks." 37 CFR 3.31(b).

Attached please find an assignment (document) for recordal.

COPY

CERTIFICATION 37 CFR 1.8(a) and 1.10

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

- ☒ with sufficient postage as first class mail      ☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

David J. Archer  
(type or print name of person certifying)

Date:

June 12<sup>th</sup> 1998

06/26/1998 SSNITH 09000008 021900 09007659  
Sale Ref: 00000008 DAW: 021900 09007659  
01 FC:581 40.00 CH

(Assignment (Document) Cover Sheet [16-6]--Added page)

PATENT  
REEL: 9676 FRAME: 0870

**FOR ASSIGNMENT (DOCUMENT) RECORDAL**  
(37 CFR 3.21 and 37 CFR 3.31(a)(4))

**NOTE:** "An assignment relating to a patent must identify the patent by the patent number. An assignment relating to a national patent application must identify the national patent application by the application number (consisting of the series code and the serial number, e.g., 07/123,456) or the serial number and the filing date. An assignment relating to an international patent application which designates the United States of America must identify the international application by the international application number (e.g., PCT/US90/01234)." 37 CFR 3.21.

**NOTE:** § 3.21 does not apply to documents other than assignment. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

1. This assignment is for the following patent application and/or issued patent:

National application: SN: 09/007,659 filed on January 15, 1998.

Provisional application: \_\_\_\_\_ filed on \_\_\_\_\_.

International application: PCT/\_\_\_\_\_.

Patent No: \_\_\_\_\_ Issued: \_\_\_\_\_.

(complete if applicable) which was previously assigned and recorded

Date \_\_\_\_\_

Reel \_\_\_\_\_  
Frame \_\_\_\_\_

(also complete the following, if applicable)

☐ and also for the applications and/or patents  
shown on the attached list of FURTHER  
APPLICATION(s) and/or PATENT(s) BEING ASSIGNED

Number of pages added \_\_\_\_\_

**NOTE:** "Where there is a listing of properties contained within a document, any listing may be copied and attached to the cover sheet to reduce the amount of typing necessary. A notation of this attachment can be made in lieu of entering every property identification number on the cover sheet. Notice of June 24, 1992 (1140 O.G. 63-72 at 67).

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS  
AND TOTAL FEE (37 CFR 3.28(a)(6))**

2. A. The total number of applications and/or patents identified in this cover sheet is one.

B. The total fee is (37 CFR 1.21(h)):

1 x \$40.00 = \$ 40.00  
a Total number of applications  
and/or patents

COPY

C. Payment of fee is made by:

☐ attached check for \$\_\_\_\_\_.

☒ Please charge Account 02-1900  
the sum of \$40.00.

A duplicate of this cover sheet is attached.

Please charge Account 02-1900 for any fee deficiency or credit to account any overpayment.

**NAME OF PARTY(IES) CONVEYING INTEREST**  
(37 CFR 3.31(a)(1))

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the documents." Notice of June 24, 1992 (1140 O.G. 63-72, at 65).

3. The party(ies) conveying interest is (are):

Name 1: James Dodds

Name 2:

Name 3:

Name 4:

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**  
(37 CFR 3.31(a)(2))

4. The rights are being conveyed to:

Name: Beloit Technologies, Inc.  
Address: Suite 3001, 3513 Concord Pike  
Wilmington, Delaware 19801-1622

COPY

**TRANSACTION RECORDED (37 CFR 3.31(a)(3))**

5. The accompanying document intends to accomplish:

- ☒ an assignment.
- ☐ a security agreement.
- ☐ a merger.
- ☐ a license.
- ☐ a change of name.
- ☐ a change of address.
- ☐ other:

**NAME AND ADDRESS OF PARTY TO WHOM  
CORRESPONDENCE SHOULD BE MAILED (37 CFR 3.31(a)(5))**

6. Please address correspondence to:

Name: Raymond W. Campbell  
Address: Beloit Corporation  
One St. Lawrence Avenue  
Beloit, WI 53511  
Telephone No.: 608-364-7042

**DATE ASSIGNMENT (DOCUMENT) EXECUTED  
(37 CFR 3.31(a)(7))**

7. The attached assignment (document) was executed on May 20, 1998.  
(date)

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

NOTE: "The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation." 37 CFR 3.26.

8. The attached document:

- ☒ is in the English language
- ☐ is not in the English language and a verified English translation signed by the individual making the translation is attached.

COPY

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8 1/2 x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 CFR 3.24.

9. Submitted herewith is:

- ☒ the original document  
☐ a true copy of the original document, which I certify to be a true copy.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

NOTE: "Certification shall be made by the person submitting a copy of an original document that the document submitted is a true copy of the original. The certification is not required to be in an oath or declaration form. "M.P.E.P., 6th ed., § 302.01

**ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF ADDRESS**

(check item if applicable)

10. ☐ Because the purpose of the attached documents is to record a change of address of the assignee the particulars of the previously recorded assignments for each application and/or patent are shown.

**ASSIGNMENT (DOCUMENT) TO RECORD CHANGE OF NAME**

(check item if applicable)

11. ☐ Because the purpose of the attached documents is to record a change of name of the assignee the particulars of the previously recorded assignments for each application and/or patent are shown.

**CHANGE OF PATENT MAINTENANCE FEE ADDRESS**

(check item if applicable)

12. ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent to the Office separately.

COPY

**SIGNATURE (37 CFR 3.31(a)(10))**

13. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

NOTE: "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date June 12<sup>th</sup> 1998.

David J. Archer  
(Name of party submitting document)

David J. Archer  
(Signature of party submitting document)

(complete the following if the party submitting the document is applicant's attorney)

David J. Archer  
SIGNATURE OF ATTORNEY

Reg. No. 31,076

David J. Archer  
(type or print name of attorney)

Tel. No. 608/364-7018

Beloit Corporation  
One St. Lawrence Avenue  
(P.O. Address)

Beloit, Wisconsin 53511

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

14. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

8  
Total number of pages submitted

# ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS:

NAME(S) AND ADDRESS(S)  
OF INVENTOR(S)

James Dodds  
3261 Pine Tree Street  
St. Lazare, Quebec, Canada  
J7T 2J3

(hereinafter referred to as ASSIGNOR), has invented and owns a certain invention entitled:

TITLE OF INVENTION

ADJUSTABLE HEIGHT FORMING BLADE APPARATUS

for which application for Letters Patent of the United States has been filed on

PARTICULARS OF  
APPLICATION

January 15, 1998

under Serial No. 09/007,659, and

## WHEREAS:

NAME AND ADDRESS OF  
ASSIGNEE

BELOIT TECHNOLOGIES, INC.  
Suite 3001, 3513 Concord Pike  
Wilmington, Delaware 19803 US

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:  
Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;



ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, have hereunto set hand and seal.

FULL NAMES OF  
INVENTOR(S)

  
James Dodes

Dated:

20 MAY 1998

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INSTRUCTION SHEET FOR  
ASSIGNMENT SIGNING

All information, names of inventor(s) and assignee, title of invention Signing and particulars of application should be completed.

No witnessing or legalization is necessary. However, if this assignment is legalized then it will only be prime facie evidence of the execution of the assignment.